

# State of Florida



**Department of Financial Services  
Tallahassee, Florida**

## **CERTIFICATION REQUIRED BY SECTION 120.695, FLORIDA STATUTES**

I, General Counsel for the Department of Financial Services, hereby certify to the President of the Senate, the Speaker of the House of Representatives, the Joint Administrative Procedures Committee, and the Rules Ombudsman in the Executive Office of the Governor, that the attached revised document lists the agency rules that have been designated as rules the violation of which would be a minor violation under section 120.695(2)(b), Florida Statutes.

DATED this 21<sup>st</sup> day of August, 2017.

  
Chasity H. O'Steen, General Counsel

### Copies furnished to:

The Hon. Richard Corcoran  
Speaker of the House  
420 The Capitol  
402 South Monroe Street  
Tallahassee, FL 32399-1300

The Hon. Joe Negron  
President of the Senate  
409 The Capitol  
404 South Monroe Street  
Tallahassee, FL 32399-1100

Joint Administrative Procedures Committee  
Room 680, Pepper Building  
111 West Madison Street  
Tallahassee, FL 32399-1400

Alexandra Phillips, Rules Ombudsman  
Executive Office of the Governor  
via email to:  
[Reg.Reform@EOG.myflorida.com](mailto:Reg.Reform@EOG.myflorida.com)

<sup>1</sup>DEPARTMENT OF FINANCIAL SERVICES  
 NOTICE OF NONCOMPLIANCE; DESIGNATION OF MINOR VIOLATION OF RULES  
 SECTION 120.695, FLORIDA STATUTES

Pursuant to section 120.695, Florida Statutes, the Department of Financial Services (Department) designates the following rules as rules for which a violation would be a minor violation. The Department’s referenced division will issue a notice of noncompliance as the first enforcement action taken against a person or business subject to the below mentioned regulation. This designation is made based on the Department’s determination that a violation of any of the listed rules will not result in economic or physical harm to a person or adversely affect the public health, safety, or welfare or create a significant threat of such harm.

**1. Division of Insurance Agent and Agency Services**

<b>Florida Administrative Code Rule Chapter Number</b>	<b>Florida Administrative Code Rule Chapter Title</b>	<b>Rules Designated as Rules the Violation of Which Would Be a Minor Violation</b>
69B-162	Annuity Contracts	Rule 69B-162.011(3)
69B-211	Insurance Representatives	Rule 69B-211.002(21)(b)(3)c.
69B-211	Insurance Representatives	Rule 69B-211.004(6)(e)
69B-213	Customer Representatives	Rule 69B-213.010
69B-213	Customer Representatives	Rule 69B-213.020
69B-213	Customer Representatives	Rule 69B-213.040
69B-213	Customer Representatives	Rule 69B-213.050
69B-213	Customer Representatives	Rule 69B-213.060
69B-213	Customer Representatives	Rule 69B-213.070
69B-213	Customer Representatives	Rule 69B-213.080
69B-213	Customer Representatives	Rule 69B-213.090
69B-213	Customer Representatives	Rule 69B-213.100
69B-213	Customer Representatives	Rule 69B-213.110

<sup>1</sup> This revised list of agency rules that have been designated as rules the violation of which would be a minor violation under section 120.695(2)(b), Florida Statutes, replaces the previously filed list dated June 28, 2017.

69B-213	Customer Representatives	Rule 69B-213.120
69B-221	Bail, Bonds, and Bail Bond Agents	Rule 69B-221.051(4)(e)
69B-221	Bail, Bonds, and Bail Bond Agents	Rule 69B-221.060
69B-227	Prelicensing Education	Rule 69B-227.180(1)
69B-227	Prelicensing Education	Rule 69B-227.190(1)
69B-228	Continuing Education	Rule 69B-228.040(3)(b)
69B-228	Continuing Education	Rule 69B-228.040(3)(c)
69B-228	Continuing Education	Rule 69B-228.040(3)(f)
69B-228	Continuing Education	Rule 69B-228.060(7)
69B-228	Continuing Education	Rule 69B-228.090(1)(a)
69B-228	Continuing Education	Rule 69B-228.090(1)(b)
69B-228	Continuing Education	Rule 69B-228.100(1)
69B-228	Continuing Education	Rule 69B-228.100(4)

**2. Division of Unclaimed Property**

<b>Florida Administrative Code Rule Chapter Number</b>	<b>Florida Administrative Code Rule Chapter Title</b>	<b>Rules Designated as Rules the Violation of Which Would Be a Minor Violation</b>
69G-20	Unclaimed Property	69G-20.080