



CHIEF FINANCIAL OFFICER  
**JIMMY PATRONIS**  
STATE OF FLORIDA

**Certification of Compliance**

By signing below, the persons executing this certification individually verify that (a) they have reviewed the enclosed Regulatory Plan and (b) the Department of Financial Services regularly reviews all of its rules, having last reviewed rules to determine if the rules remain consistent with the agency's rulemaking authority and the laws implemented from February 26, 2023, to July 1, 2023.

*Michael Dobson*

Michael Dobson, General Counsel

*9-25-23*

Date

*Jimmy Patronis*

Jimmy Patronis, Chief Financial Officer

*9-28-23*

Date

Department of Financial Services  
2023-2024 Annual Regulatory Plan

<b>Requirements of Section 120.74(1)(a), Florida Statutes (F.S.)</b>				
Division within the Department of Financial Services (if applicable)	Law enacted during the previous 12 months which creates or modifies the duties or authority of the Department	Must the Department adopt rules to implement the law? If the answer is “No,” provide a concise explanation as to why the law may be implemented without rulemaking.	If the Department must adopt rules to implement the law, has a notice of rule development been published in the Florida Administrative Register?	Date by which the Department expects to publish the notice of proposed rule
N/A	Ch. 2023-163, Laws of Fla.	No, legislation is self-executing	N/A	N/A
Consumer Services	S. 215.5586, F.S.	Yes	Yes – 07/17/23	Published 07/19/23
Consumer Services	S. 626.745(4), F.S.	Yes	No	04/01/24
Insurance Agent and Agency Services	S. 627.4554, F.S.	Yes	No	04/01/24
Insurance Agent and Agency Services	S. 626.8796(6), F.S.	Yes	No	12/31/23
Investigative and Forensic Services	S. 20.121(2)(e), F.S.	No, legislation is self-executing	N/A	N/A
Investigative and Forensic Services	S. 626.9892(4), F.S.	Yes	No	04/01/24
Rehabilitation and Liquidation	S. 631.141(7), F.S.	No, activities of insolvent insurers are monitored and governed by the Second Circuit Court, Leon County, Florida	N/A	N/A
Rehabilitation and Liquidation	S. 631.252(1), F.S.	No, activities of insolvent insurers are monitored and governed by the Second Circuit Court, Leon County, Florida	N/A	N/A

<b>Requirements of Section 120.74(1)(a), Florida Statutes (F.S.)</b>				
Division within the Department of Financial Services (if applicable)	Law enacted during the previous 12 months which creates or modifies the duties or authority of the Department	Must the Department adopt rules to implement the law? If the answer is “No,” provide a concise explanation as to why the law may be implemented without rulemaking.	If the Department must adopt rules to implement the law, has a notice of rule development been published in the Florida Administrative Register?	Date by which the Department expects to publish the notice of proposed rule
Rehabilitation and Liquidation	S. 631.252(3), F.S.	No, activities of insolvent insurers are monitored and governed by the Second Circuit Court, Leon County, Florida	N/A	N/A
Rehabilitation and Liquidation	S. 631.152, F.S.	No, activities of insolvent insurers are monitored and governed by the Second Circuit Court, Leon County, Florida	N/A	N/A
Rehabilitation and Liquidation	S. 631.398, F.S.	No, activities of insolvent insurers are monitored and governed by the Second Circuit Court, Leon County, Florida	N/A	N/A
Rehabilitation and Liquidation & Workers’ Compensation	Ch. 2023-008, Laws of Fla.	No, the legislation is self-executing.	N/A	N/A
State Fire Marshall	S. 633.1423, F.S.	Yes	No	04/01/24
State Fire Marshal	Ch. 2023-018, Laws of Fla.	No, the legislation is self-executing.	N/A	N/A
Treasury	Ch. 2023-005, Laws of Fla.	No, the legislation is self-executing.	N/A	N/A
Treasury	S. 280.025, F.S.	Yes	Yes – 05/10/23	Published 05/18/23
Treasury	S. 112.215, F.S.	No, the revisions do not impact the existing rules, which are	N/A	N/A

<b>Requirements of Section 120.74(1)(a), Florida Statutes (F.S.)</b>				
Division within the Department of Financial Services (if applicable)	Law enacted during the previous 12 months which creates or modifies the duties or authority of the Department	Must the Department adopt rules to implement the law? If the answer is “No,” provide a concise explanation as to why the law may be implemented without rulemaking.	If the Department must adopt rules to implement the law, has a notice of rule development been published in the Florida Administrative Register?	Date by which the Department expects to publish the notice of proposed rule
		sufficient to implement the statute.		
Unclaimed Property	S. 717.135(4) and (5), F.S.	No, the revisions do not impact the existing rules, which are sufficient to implement the statute.	N/A	N/A
Workers’ Compensation	S. 446.54, F.S.	No, the Department of Education is the primary agency responsible for implementation and the Department of Financial Services has no rulemaking authority.	N/A	N/A

<b>Requirements of s. 120.74(1)(b), F.S.</b>		
Division within the Department of Financial Services	Laws not otherwise listed as a response to the requirements of s. 120.74(1)(a), F.S., the Department expects to implement before July 1, 2023, excluding emergency rulemaking.	Whether the rulemaking is intended to simplify, clarify, increase efficiency, improve coordination with other agencies, reduce regulatory costs, or delete obsolete, unnecessary, or redundant rules.
Funeral, Cemetery, and Consumer Services	S. 497.140, F.S.	Clarify
Funeral, Cemetery, and Consumer Services	S. 497.141, F.S.	Clarify

<b>Requirements of s. 120.74(1)(b), F.S.</b>		
Division within the Department of Financial Services	Laws not otherwise listed as a response to the requirements of s. 120.74(1)(a), F.S., the Department expects to implement before July 1, 2023, excluding emergency rulemaking.	Whether the rulemaking is intended to simplify, clarify, increase efficiency, improve coordination with other agencies, reduce regulatory costs, or delete obsolete, unnecessary, or redundant rules.
Funeral, Cemetery, and Consumer Services	S. 497.142, F.S.	Clarify
Funeral, Cemetery, and Consumer Services	S. 497.146, F.S.	Clarify
Funeral, Cemetery, and Consumer Services	S. 497.369, F.S.	Clarify
Insurance Agent and Agency Services	S. 112.011, F.S.	Clarify
Insurance Agent and Agency Services	S. 120.60, F.S.	Clarify
Insurance Agent and Agency Services	S. 501.059(2), (4), F.S.	Clarify
Insurance Agent and Agency Services	S. 501.613, F.S.	Clarify
Insurance Agent and Agency Services	S. 501.616(6), F.S.	Clarify
Insurance Agent and Agency Services	S. 624.501(6), (14), and (21), F.S.	Clarify, simplify
Insurance Agent and Agency Services	S. 624.307(1), F.S.	Clarify, delete obsolete and redundant rule language, simplify
Insurance Agent and Agency Services	S. 624.308, F.S.	Clarify, delete obsolete and redundant rule language, simplify
Insurance Agent and Agency Services	S. 624.321, F.S.	Clarify, simplify
Insurance Agent and Agency Services	S. 626.561(2), F.S.	Clarify
Insurance Agent and Agency Services	S. 626.9541(1), F.S.	Clarify

<b>Requirements of s. 120.74(1)(b), F.S.</b>		
Division within the Department of Financial Services	Laws not otherwise listed as a response to the requirements of s. 120.74(1)(a), F.S., the Department expects to implement before July 1, 2023, excluding emergency rulemaking.	Whether the rulemaking is intended to simplify, clarify, increase efficiency, improve coordination with other agencies, reduce regulatory costs, or delete obsolete, unnecessary, or redundant rules.
Insurance Agent and Agency Services	S. 626.015, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.112, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.161, F.S.	Clarify, simplify
Insurance Agent and Agency Services	S. 626.171, F.S.	Clarify, simplify
Insurance Agent and Agency Services	S. 626.172, F.S.	Clarify, simplify
Insurance Agent and Agency Services	S. 626.201, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.207, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.211, F.S.	Clarify, simplify
Insurance Agent and Agency Services	S. 626.271, F.S.	Clarify, simplify
Insurance Agent and Agency Services	S. 626.2815, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.2816, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.2817, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.291, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.451, F.S.	Clarify

<b>Requirements of s. 120.74(1)(b), F.S.</b>		
Division within the Department of Financial Services	Laws not otherwise listed as a response to the requirements of s. 120.74(1)(a), F.S., the Department expects to implement before July 1, 2023, excluding emergency rulemaking.	Whether the rulemaking is intended to simplify, clarify, increase efficiency, improve coordination with other agencies, reduce regulatory costs, or delete obsolete, unnecessary, or redundant rules.
Insurance Agent and Agency Services	S. 626.471, F.S.	Clarify, delete obsolete and redundant rule language
Insurance Agent and Agency Services	S. 626.541, F.S.	Clarify, simplify
Insurance Agent and Agency Services	S. 626.592, F.S.	Clarify, simplify
Insurance Agent and Agency Services	S. 626.601, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.611, F.S.	Clarify, simplify
Insurance Agent and Agency Services	S. 626.6115, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.621, F.S.	Clarify, simplify
Insurance Agent and Agency Services	S. 626.6215, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.631, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.641, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.681, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.691, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.734, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.7351, F.S.	Clarify

<b>Requirements of s. 120.74(1)(b), F.S.</b>		
Division within the Department of Financial Services	Laws not otherwise listed as a response to the requirements of s. 120.74(1)(a), F.S., the Department expects to implement before July 1, 2023, excluding emergency rulemaking.	Whether the rulemaking is intended to simplify, clarify, increase efficiency, improve coordination with other agencies, reduce regulatory costs, or delete obsolete, unnecessary, or redundant rules.
Insurance Agent and Agency Services	S. 626.7352, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.7353, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.7354, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.747, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.748, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.752, F.S.	Clarify, simplify
Insurance Agent and Agency Services	S. 626.793, F.S.	Clarify, simplify
Insurance Agent and Agency Services	S. 626.837, F.S.	Clarify, simplify
Insurance Agent and Agency Services	S. 626.8417, F.S.	Clarify, simplify
Insurance Agent and Agency Services	S. 626.8437, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.844, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.8443, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.8695, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.8697, F.S.	Clarify



<b>Requirements of s. 120.74(1)(b), F.S.</b>		
Division within the Department of Financial Services	Laws not otherwise listed as a response to the requirements of s. 120.74(1)(a), F.S., the Department expects to implement before July 1, 2023, excluding emergency rulemaking.	Whether the rulemaking is intended to simplify, clarify, increase efficiency, improve coordination with other agencies, reduce regulatory costs, or delete obsolete, unnecessary, or redundant rules.
Insurance Agent and Agency Services	S. 626.8698, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.9521, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.9561, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.9571, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.9581, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.9953, F.S.	Clarify, simplify
Insurance Agent and Agency Services	S. 626.9954, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.9957, F.S.	Clarify
Insurance Agent and Agency Services	S. 626.9958, F.S.	Clarify, simplify
Insurance Agent and Agency Services	S. 631.155, F.S.	Clarify
Insurance Agent and Agency Services	S. 648.27(8), F.S.	Clarify
Insurance Agent and Agency Services	S. 648.442(1) and (8), F.S.	Clarify
Insurance Agent and Agency Services	S. 648.44(1)(j) and (6), F.S.	Clarify
Insurance Agent and Agency Services	S. 648.35(2), F.S.	Clarify

<b>Requirements of s. 120.74(1)(b), F.S.</b>		
Division within the Department of Financial Services	Laws not otherwise listed as a response to the requirements of s. 120.74(1)(a), F.S., the Department expects to implement before July 1, 2023, excluding emergency rulemaking.	Whether the rulemaking is intended to simplify, clarify, increase efficiency, improve coordination with other agencies, reduce regulatory costs, or delete obsolete, unnecessary, or redundant rules.
Insurance Agent and Agency Services	S. 648.26(1)(a), F.S.	Clarify
Insurance Agent and Agency Services	S. 648.285, F.S.	Clarify
Insurance Agent and Agency Services	S. 648.29, F.S.	Clarify
Insurance Agent and Agency Services	S. 648.295, F.S.	Clarify
Insurance Agent and Agency Services	S. 648.33, F.S.	Clarify
Insurance Agent and Agency Services	S. 648.34, F.S.	Clarify
Insurance Agent and Agency Services	S. 648.355, F.S.	Clarify
Insurance Agent and Agency Services	S. 648.36, F.S.	Clarify
Insurance Agent and Agency Services	S. 648.365, F.S.	Delete unnecessary
Insurance Agent and Agency Services	S. 648.382, F.S.	Clarify
Insurance Agent and Agency Services	S. 648.383, F.S.	Clarify
Insurance Agent and Agency Services	S. 648.384, F.S.	Clarify
Insurance Agent and Agency Services	S. 648.386, F.S.	Clarify
Insurance Agent and Agency Services	S. 648.387, F.S.	Clarify

<b>Requirements of s. 120.74(1)(b), F.S.</b>		
Division within the Department of Financial Services	Laws not otherwise listed as a response to the requirements of s. 120.74(1)(a), F.S., the Department expects to implement before July 1, 2023, excluding emergency rulemaking.	Whether the rulemaking is intended to simplify, clarify, increase efficiency, improve coordination with other agencies, reduce regulatory costs, or delete obsolete, unnecessary, or redundant rules.
Insurance Agent and Agency Services	S. 648.388, F.S.	Clarify
Insurance Agent and Agency Services	S. 648.39, F.S.	Clarify
Insurance Agent and Agency Services	S. 648.421, F.S.	Clarify
Insurance Agent and Agency Services	S. 648.4425, F.S.	Clarify
Insurance Agent and Agency Services	S. 648.45, F.S.	Clarify
Insurance Agent and Agency Services	S. 648.48, F.S.	Clarify
State Fire Marshall	S.s 633.104 and 399.15, F.S.	Simply and clarify
State Fire Marshall	S.s 633.104 and 526.141, F.S.	Simply and clarify
State Fire Marshall	S. 633.308, F.S.	Simply and clarify
State Fire Marshall	S.s 633.104 and 633.136, F.S.	Simply and clarify
Unclaimed Property	S. 717.124(8), F.S.	Increase efficiency
Workers' Compensation	S. 440.13(7), (12), and (13)(b), F.S.	Simplify, clarify, increase efficiency, reduce regulatory costs
Workers' Compensation	S. 440.12, F.S.	Simplify, clarify, increase efficiency
Workers' Compensation	S. 440.14, F.S.	Simplify, clarify, increase efficiency
Workers' Compensation	S. 440.15(3)(d)2., F.S.	Simplify, clarify, increase efficiency
Workers' Compensation	S. 440.185(2), (5), (7), and (9), F.S.	Simplify, clarify, increase efficiency
Workers' Compensation	S. 440.192(8), F.S.	Simplify, clarify, increase efficiency
Workers' Compensation	S. 440.20(2)(a), (3), (4), (6), (8)(b), (9), and (15)(f), F.S.	Simplify, clarify, increase efficiency
Workers' Compensation	S. 440.207(2), F.S.	Simplify, clarify, increase efficiency
Workers' Compensation	S. 440.41, F.S.	Simplify, clarify, increase efficiency

<b>Requirements of s. 120.74(1)(b), F.S.</b>		
Division within the Department of Financial Services	Laws not otherwise listed as a response to the requirements of s. 120.74(1)(a), F.S., the Department expects to implement before July 1, 2023, excluding emergency rulemaking.	Whether the rulemaking is intended to simplify, clarify, increase efficiency, improve coordination with other agencies, reduce regulatory costs, or delete obsolete, unnecessary, or redundant rules.
Workers' Compensation	S. 440.51(6) and (8), F.S.	Simplify, clarify, increase efficiency
Workers' Compensation	S. 440.593, F.S.	Simplify, clarify, increase efficiency
Workers' Compensation	S. 440.591, F.S.	Simplify, clarify, increase efficiency
Workers' Compensation	S. 440.191(2)(a), F.S.	Simplify, clarify, increase efficiency

<b>Requirements of s. 120.74(1)(c), F.S.</b>	
Are there any desired updates to the prior year's regulatory plan?	Proposed Updates
No	N/A

**Board of Funeral, Cemetery, and Consumer Services  
2023-2024 Annual Regulatory Plan**

**Section 1**

**Laws Enacted or Amended within the previous 12 months which create or modify the duties or authority of the Board.**

Law	Mandatory rulemaking ? Yes/No	Is rulemaking necessary? Yes/No	Notice of Development Published? Yes/No   If Yes, Citation	Expected publication date for NPRM	If rulemaking is not necessary? Explain
None					

**Section 2**

**Laws not listed above that the agency expects to implement by rulemaking by the following July 1.**

Law	Is rulemaking intended to:	Simplify	Clarify	Increase efficiency	Improve coordination with other agencies	Reduce regulatory costs	Delete obsolete, unnecessary or redundant rules
Section 497.282, F.S. Rule 69K-8.006			x				
Sections 497.370, 497.375, F.S. Rule 69K-18.004			x				

**Section 3:**

**Updates to 2022-23 Annual Regulatory Plan.**

***(120.74(1)(c), Florida Statutes)***

There are no updates to the prior year's regulatory plan.

**Section 4: Certification.**

**Pursuant to Section 120.74(1)(d), Florida Statutes, I hereby certify that I have reviewed this Annual Regulatory Plan and that the Board regularly reviews all of its rules to determine if the rules remain consistent with the Board's rulemaking authority and the laws being implemented, with the most recent comprehensive review having been completed July 1, 2023.**

***/s/ Jill Peeples***

**Jill Peeples, Chair  
Board of Funeral, Cemetery, and Consumer Services**

Date:  
9/13/23

***/s/ Rachelle Munson***

**Rachelle Munson, Senior Assistant Attorney General  
Office of Attorney General  
Board Counsel, Board of Funeral, Cemetery, and  
Consumer Services**

Date:  
9/13/23