



CHIEF FINANCIAL OFFICER
JIMMY PATRONIS
STATE OF FLORIDA

Certification of Compliance

By signing below, the persons executing this certification individually verify that (a) he or she has reviewed the enclosed Regulatory Plan and (b) the Department of Financial Services regularly reviews all of its rules, having last reviewed all rules to determine if the rules remain consistent with the agency's rulemaking authority and the laws implemented from July 14, 2021 to September 3, 2021.

A handwritten signature in blue ink, appearing to read "John MacIver".

John MacIver, General Counsel

9/20/21
Date

A handwritten signature in blue ink, appearing to read "Jimmy Patronis".

Jimmy Patronis, Chief Financial Officer

9/29/21
Date

Department of Financial Services
2021-2022 Annual Regulatory Plan

Requirements of section 120.74(1)(a), Florida Statutes (F.S.)				
Division within the Department of Financial Services (if applicable)	Law enacted during the previous 12 months which creates or modifies the duties or authority of the Department	Must the Department adopt rules to implement the law? If the answer is “No,” provide a concise explanation as to why the law may be implemented without rulemaking.	If the Department must adopt rules to implement the law, has a notice of rule development been published in the Florida Administrative Register?	Date by which the Department expects to publish the notice of proposed rule
N/A	Chapter 2021-05, Laws of Florida	No, the law is self-implementing.	N/A	N/A
N/A	Chapter 2021-15, Laws of Florida	No, the law is self-implementing.	N/A	N/A
N/A	Chapter 2021-27, Laws of Florida	No, the law is self-implementing.	N/A	N/A
N/A	Chapter 2021-36, Laws of Florida	No, the law is self-implementing.	N/A	N/A
N/A	Chapter 2021-37, Laws of Florida	No, the law is self-implementing.	N/A	N/A
N/A	Chapter 2021-39, Laws of Florida	No, the law is self-implementing.	N/A	N/A
N/A	Chapter 2021-173, Laws of Florida	No, the law is self-implementing.	N/A	N/A
N/A	Chapter 2021-225, Laws of Florida	No, the law is self-implementing.	N/A	N/A
N/A	Chapter 2021-227, Laws of Florida	No, the law is self-implementing.	N/A	N/A
N/A	Chapter 2021-234, Laws of Florida	No, the law is self-implementing.	N/A	N/A

Requirements of section 120.74(1)(a), Florida Statutes (F.S.)				
Division within the Department of Financial Services (if applicable)	Law enacted during the previous 12 months which creates or modifies the duties or authority of the Department	Must the Department adopt rules to implement the law? If the answer is “No,” provide a concise explanation as to why the law may be implemented without rulemaking.	If the Department must adopt rules to implement the law, has a notice of rule development been published in the Florida Administrative Register?	Date by which the Department expects to publish the notice of proposed rule
N/A	Section 287.057, F.S.	No, the law is self-implementing.	N/A	N/A
N/A	Section 288.860, F.S.	No, the law is self-implementing.	N/A	N/A
N/A	Section 409.25658, F.S.	No, the law is self-implementing.	N/A	N/A
Consumer Services	Section 624.36, F.S.	No, the law is self-implementing.	N/A	N/A
Consumer Services	Section 627.70152, F.S.	Yes	No	4/1/2022
Funeral, Cemetery, and Consumer Services	Section 497.550, F.S.	Yes	No	4/1/2022
Funeral, Cemetery, and Consumer Services	Section 497.101, F.S.	No, the law is self-implementing.	N/A	N/A
Funeral, Cemetery, and Consumer Services	Section 497.157, F.S.	No, the law is self-implementing.	N/A	N/A
Funeral, Cemetery, and Consumer Services	Section 497.159, F.S.	No, the law is self-implementing.	N/A	N/A
Funeral, Cemetery, and Consumer Services	Section 497.273, F.S.	No, the law is self-implementing.	N/A	N/A
Funeral, Cemetery, and Consumer Services	Section 497.375, F.S.	No, the law is self-implementing.	N/A	N/A
Funeral, Cemetery, and Consumer Services	Section 497.377, F.S.	No, the law is self-implementing.	N/A	N/A
Funeral, Cemetery, and Consumer Services	Section 497.458, F.S.	No, the law is self-implementing.	N/A	N/A

Requirements of section 120.74(1)(a), Florida Statutes (F.S.)				
Division within the Department of Financial Services (if applicable)	Law enacted during the previous 12 months which creates or modifies the duties or authority of the Department	Must the Department adopt rules to implement the law? If the answer is “No,” provide a concise explanation as to why the law may be implemented without rulemaking.	If the Department must adopt rules to implement the law, has a notice of rule development been published in the Florida Administrative Register?	Date by which the Department expects to publish the notice of proposed rule
Insurance Agent & Agency Services	Section 624.307, F.S.	No, the law is self-implementing	N/A	N/A
Insurance Agent & Agency Services	Section 624.501, F.S.	No, the law is self-implementing	N/A	N/A
Insurance Agent & Agency Services	Section 626.112, F.S.	Yes	No	4/1/2022
Insurance Agent & Agency Services	Section 626.2815, F.S.	Yes	No	4/1/2022
Insurance Agent & Agency Services	Section 626.621, F.S.	No, the law is self-implementing.	N/A	N/A
Insurance Agent & Agency Services	Section 626.854, F.S.	No, the law is self-implementing.	N/A	N/A
Insurance Agent & Agency Services	Section 626.916, F.S.	No, the law is self-implementing.	N/A	N/A
Insurance Agent & Agency Services	Section 626.9541, F.S.	No, the law is self-implementing.	N/A	N/A
Investigative & Forensic Services	Section 286.101, F.S.	No, the law is self-implementing.	N/A	N/A
Risk Management	Sections 2, 3, and 4 of Chapter 2021-113, Laws of Florida	Yes	No	4/1/2022
State Fire Marshal	Section 552.081, F.S.	No, the law is self-implementing.	N/A	N/A
State Fire Marshal	Section 633.102, F.S.	No, the law is self-implementing.	N/A	N/A

Requirements of section 120.74(1)(a), Florida Statutes (F.S.)				
Division within the Department of Financial Services (if applicable)	Law enacted during the previous 12 months which creates or modifies the duties or authority of the Department	Must the Department adopt rules to implement the law? If the answer is “No,” provide a concise explanation as to why the law may be implemented without rulemaking.	If the Department must adopt rules to implement the law, has a notice of rule development been published in the Florida Administrative Register?	Date by which the Department expects to publish the notice of proposed rule
State Fire Marshal	Section 633.136, F.S.	No, the law is self-implementing.	N/A	N/A
State Fire Marshal	Section 633.202, F.S.	No, the law is self-implementing.	N/A	N/A
State Fire Marshal	Section 633.208, F.S.	No, the law is self-implementing.	N/A	N/A
State Fire Marshal	Section 633.217, F.S.	No, the law is self-implementing.	N/A	N/A
State Fire Marshal	Section 633.304, F.S.	No, the law is self-implementing.	N/A	N/A
State Fire Marshal	Section 633.402, F.S.	No, the law is self-implementing.	N/A	N/A
State Fire Marshal	Section 633.416, F.S.	No, the law is self-implementing.	N/A	N/A
Treasury	Section 4 of Chapter 2021-225, Laws of Florida	No, the law is self-implementing.	N/A	N/A
Treasury	Section 3 of Chapter 2021-124, Laws of Florida	No, the law is self-implementing.	N/A	N/A
Treasury	Sections 2, 3, and 4 of Chapter 2021-27, Laws of Florida	No, the law is self-implementing.	N/A	N/A

Requirements of section 120.74(1)(a), Florida Statutes (F.S.)				
Division within the Department of Financial Services (if applicable)	Law enacted during the previous 12 months which creates or modifies the duties or authority of the Department	Must the Department adopt rules to implement the law? If the answer is “No,” provide a concise explanation as to why the law may be implemented without rulemaking.	If the Department must adopt rules to implement the law, has a notice of rule development been published in the Florida Administrative Register?	Date by which the Department expects to publish the notice of proposed rule
Treasury	Sections 1 and 6 of Chapter 2021-5, Laws of Florida	No, the law is self-implementing.	N/A	N/A
Unclaimed Property	Section 717.119, F.S.	No, the law is self-implementing.	N/A	N/A
Unclaimed Property	Section 717.124, F.S.	No, the law is self-implementing.	N/A	N/A
Unclaimed Property	Section 717.135, F.S.	Yes	No	4/1/2022

Requirements of s. 120.74(1)(b), F.S.		
Division within the Department of Financial Services	Laws not otherwise listed as a response to the requirements of s. 120.74(1)(a), F.S., the Department expects to implement before July 1, 2022, excluding emergency rulemaking.	Whether the rulemaking is intended to simplify, clarify, increase efficiency, improve coordination with other agencies, reduce regulatory costs, or delete obsolete, unnecessary, or redundant rules.
Funeral, Cemetery, and Consumer Services	Section 497.141, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Funeral, Cemetery, and Consumer Services	Section 497.146, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Funeral, Cemetery, and Consumer Services	Section 497.147, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Funeral, Cemetery, and Consumer Services	Section 497.162, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Funeral, Cemetery, and Consumer Services	Section 497.271, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies

Requirements of s. 120.74(1)(b), F.S.		
Division within the Department of Financial Services	Laws not otherwise listed as a response to the requirements of s. 120.74(1)(a), F.S., the Department expects to implement before July 1, 2022, excluding emergency rulemaking.	Whether the rulemaking is intended to simplify, clarify, increase efficiency, improve coordination with other agencies, reduce regulatory costs, or delete obsolete, unnecessary, or redundant rules.
Funeral, Cemetery, and Consumer Services	Section 498.368, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Funeral, Cemetery, and Consumer Services	Section 497.369, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Funeral, Cemetery, and Consumer Services	Section 497.373, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Funeral, Cemetery, and Consumer Services	Section 497.380, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Funeral, Cemetery, and Consumer Services	Section 497.382, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Funeral, Cemetery, and Consumer Services	Section 497.384, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Funeral, Cemetery, and Consumer Services	Section 497.385, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Funeral, Cemetery, and Consumer Services	Section 497.368, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Funeral, Cemetery, and Consumer Services	Section 497.370, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Funeral, Cemetery, and Consumer Services	Section 497.373, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Funeral, Cemetery, and Consumer Services	Section 497.375, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Funeral, Cemetery, and Consumer Services	Section 497.377, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Funeral, Cemetery, and Consumer Services	Section 497.385, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies

Requirements of s. 120.74(1)(b), F.S.		
Division within the Department of Financial Services	Laws not otherwise listed as a response to the requirements of s. 120.74(1)(a), F.S., the Department expects to implement before July 1, 2022, excluding emergency rulemaking.	Whether the rulemaking is intended to simplify, clarify, increase efficiency, improve coordination with other agencies, reduce regulatory costs, or delete obsolete, unnecessary, or redundant rules.
Funeral, Cemetery, and Consumer Services	Section 497.453, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Funeral, Cemetery, and Consumer Services	Section 497.456, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Funeral, Cemetery, and Consumer Services	Section 497.457, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Funeral, Cemetery, and Consumer Services	Section 497.458, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Funeral, Cemetery, and Consumer Services	Section 497.462, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Funeral, Cemetery, and Consumer Services	Section 497.464, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Funeral, Cemetery, and Consumer Services	Section 497.466, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Funeral, Cemetery, and Consumer Services	Section 497.602, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Funeral, Cemetery, and Consumer Services	Section 497.604, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Funeral, Cemetery, and Consumer Services	Section 497.606, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Insurance Agent & Agency Services	Section 215.322, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.015, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.161, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.172, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.207, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.2815, F.S.	Simplify or clarify

Requirements of s. 120.74(1)(b), F.S.		
Division within the Department of Financial Services	Laws not otherwise listed as a response to the requirements of s. 120.74(1)(a), F.S., the Department expects to implement before July 1, 2022, excluding emergency rulemaking.	Whether the rulemaking is intended to simplify, clarify, increase efficiency, improve coordination with other agencies, reduce regulatory costs, or delete obsolete, unnecessary, or redundant rules.
Insurance Agent & Agency Services	Section 626.2816, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.4554, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.611, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.6115, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.621, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.6215, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.631, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.641, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.681, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.691, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.7351, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.7352, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.7353, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.7354, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.7454, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.747, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.843, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.844, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.8437, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.8443, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.8659, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.8697, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.8698, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.9521, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.9541, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.9561, F.S.	Simplify or clarify

Requirements of s. 120.74(1)(b), F.S.		
Division within the Department of Financial Services	Laws not otherwise listed as a response to the requirements of s. 120.74(1)(a), F.S., the Department expects to implement before July 1, 2022, excluding emergency rulemaking.	Whether the rulemaking is intended to simplify, clarify, increase efficiency, improve coordination with other agencies, reduce regulatory costs, or delete obsolete, unnecessary, or redundant rules.
Insurance Agent & Agency Services	Section 626.9571, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.9581, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.9954, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 626.9957, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 631.155, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 648.26, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 648.27, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 648.285, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 648.29, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 648.295, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 648.33, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 648.34, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 648.35, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 648.355, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 648.36, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 648.365, F.S.	Delete unnecessary rule
Insurance Agent & Agency Services	Section 648.377, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 648.382, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 648.383, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 648.386, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 648.388, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 648.39, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 648.421, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 648.44, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 648.442, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 648.4425, F.S.	Simplify or clarify

Requirements of s. 120.74(1)(b), F.S.		
Division within the Department of Financial Services	Laws not otherwise listed as a response to the requirements of s. 120.74(1)(a), F.S., the Department expects to implement before July 1, 2022, excluding emergency rulemaking.	Whether the rulemaking is intended to simplify, clarify, increase efficiency, improve coordination with other agencies, reduce regulatory costs, or delete obsolete, unnecessary, or redundant rules.
Insurance Agent & Agency Services	Section 648.45, F.S.	Simplify or clarify
Insurance Agent & Agency Services	Section 648.48, F.S.	Simplify or clarify
Public Assistance Fraud	Section 20.121, F.S.	Improve coordination with other agencies
Risk Management	Section 255.03, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Risk Management	Section 284.01, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Risk Management	Sections 284.30, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Risk Management	Sections 284.31, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Risk Management	Sections 284.311, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Risk Management	Section 284.36, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Risk Management	Section 284.385, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Risk Management	Sections 284.40, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Risk Management	Sections 284.41, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Risk Management	Section 284.50, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Risk Management	Section 627.730, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
State Fire Marshal	Section 633.202, F.S.	Simplify or clarify and delete redundant rule language

Requirements of s. 120.74(1)(b), F.S.		
Division within the Department of Financial Services	Laws not otherwise listed as a response to the requirements of s. 120.74(1)(a), F.S., the Department expects to implement before July 1, 2022, excluding emergency rulemaking.	Whether the rulemaking is intended to simplify, clarify, increase efficiency, improve coordination with other agencies, reduce regulatory costs, or delete obsolete, unnecessary, or redundant rules.
Treasury	Section 112.215, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Unclaimed Property	Section 717.1243, F.S.	Increase efficiency
Workers' Compensation	Section 440.15, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Workers' Compensation	Section 440.16, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Workers' Compensation	Section 440.185, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Workers' Compensation	Section 440.19, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Workers' Compensation	Section 440.191, F.S.	Delete obsolete, unnecessary, or redundant rules
Workers' Compensation	Section 440.192, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Workers' Compensation	Section 440.20, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Workers' Compensation	Section 440.207, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Workers' Compensation	Section 440.34, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Workers' Compensation	Section 440.345, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies

Requirements of s. 120.74(1)(b), F.S.		
Division within the Department of Financial Services	Laws not otherwise listed as a response to the requirements of s. 120.74(1)(a), F.S., the Department expects to implement before July 1, 2022, excluding emergency rulemaking.	Whether the rulemaking is intended to simplify, clarify, increase efficiency, improve coordination with other agencies, reduce regulatory costs, or delete obsolete, unnecessary, or redundant rules.
Workers' Compensation	Section 440.35, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Workers' Compensation	Section 440.40, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Workers' Compensation	Section 440.41, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Workers' Compensation	Section 440.491, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Workers' Compensation	Section 440.51, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Workers' Compensation	Section 440.525, F.S.	Simplify or clarify; increase efficiency; improve coordination with other agencies
Workers' Compensation	Section 440.591, F.S.	Simplify, clarify, increase efficiency, delete obsolete, unnecessary, or redundant rules
Workers' Compensation	Section 440.593, F.S.	Simplify, clarify, increase efficiency, delete obsolete, unnecessary, or redundant rules

Requirements of s. 120.74(1)(c), F.S.	
Are there any desired updates to the prior year's regulatory plan?	Proposed Updates
No	N/A