

Florida **PALM**

Planning, Accounting, and Ledger Management



EXECUTIVE STEERING COMMITTEE

DEPARTMENT OF FINANCIAL SERVICES

JUNE 30, 2021



PROJECT UPDATE

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Florida PALM Project Update

Risks and Issues

- ▶ Since the last ESC meeting, no new issues were logged
- ▶ Since the last ESC meeting, no risks were logged
- ▶ Risks and Issues with a high probability and impact will continue to be shared via monthly status reports and discussed (if necessary or as requested) with the ESC



Florida PALM Project Update

Budget – Fiscal Year 20-21

FY 2020-2021 Spend Plan Summary As of May 31, 2021

Category	Projected FYTD	Incurred FYTD	Released FYTD	Release Remaining
Special Category	\$21,178,815	\$17,948,609	\$26,424,797	\$8,476,188
SSI Contract	\$19,104,354	\$16,318,502		
Software Maintenance	\$78,182	\$78,182		
Project Admin	\$286,694	\$149,170		
Support Services	\$865,600	\$742,242		
IV&V	\$843,985	\$660,513		
Salaries and Benefits	\$5,420,075	\$5,379,222	\$6,401,373	\$1,022,151
DMS Transfer and Risk Management	\$22,173	\$26,872	\$26,872	\$0
Total	\$26,621,063	\$23,354,703	\$32,853,042	N/A



Florida PALM Project Update

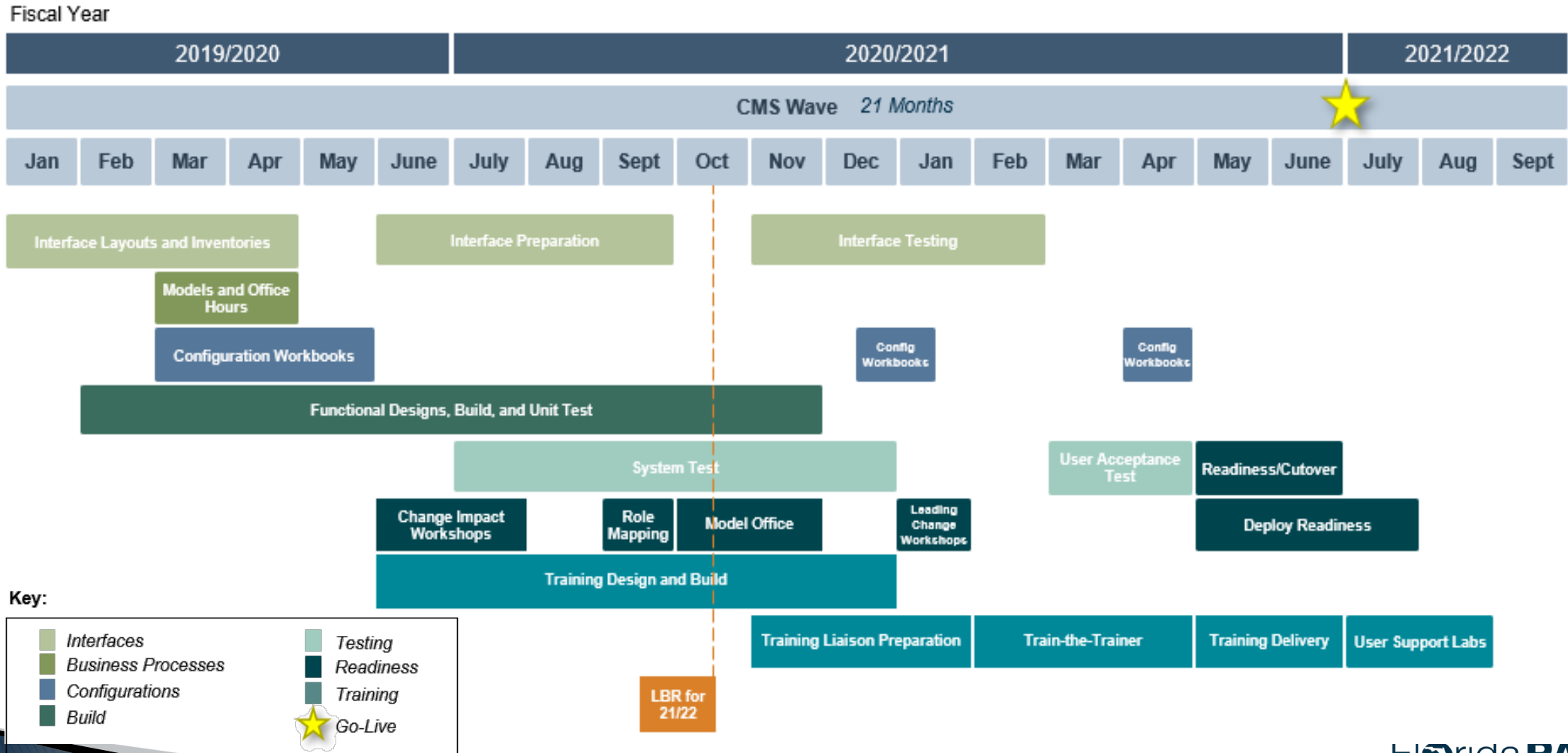
CMS Wave

- ▶ Amendment 5 Update
- ▶ Amendment 6 Update
- ▶ Project Change Request Update



Florida PALM Project Update

Schedule – CMS Wave Activities



Florida PALM Project Update

Scope – CMS Wave Known Issues

SIR #	SIR Type	Summary	Description	Impact to Customers Temporary Fix	Functional Area
SIR 6877	Interface	Unable to load ARI003 test file from NIC due to missing Company Name/ID in the system	NIC provided a sample ARI003 file (Inbound ACH NOC and Return Deposit Data) for testing, however, the file is unable to be loaded due to missing company ID/Name in Florida PALM. The missing Company ID/Name will need to be configured.	This does not impact Florida PALM CMS Wave launch on July 6, 2021 as NIC is planned for launch in September 2021.	Accounts Receivable
SIR 6860	Report	Disable the other report output formats for CMR026	CMR026 (Bank Statement Extended Addenda Extract) is intended to generate a csv or excel output format. However, the page where the user generates the report allows them to select other output formats such as PDF. The report needs to be modified to disable the other report output formats on the page.	When end users run the report, they must select the excel output format and the report will be generated as expected.	Cash Management



Florida PALM Project Update

Scope – CMS Wave Known Issues

SIR #	SIR Type	Summary	Description	Impact to Customers Temporary Fix	Functional Area
SIR 6852	Delivered	Paper Returns are reconciling incorrectly	Paper Returns are reconciling incorrectly when bank reconciliation process is run across bank accounts and multiple days. This is an Oracle bug and a ticket has been logged with Oracle (SR 3-26289731191).	A workaround solution will be implemented until the permanent solution from Oracle is released. The workaround solution will enable the bank reconciliation process to only reconcile the prior-day bank statements received on a given day. Hence, only the current statement will be reconciled during the daily batch run. All previously loaded bank statements that do not have a "Complete" status and aren't fully reconciled will be reconciled in the "catch-all" bank reconciliation nightly batch run.	Cash Management
SIR 6885	Report	GLR087 SPIA Reconciliation Report prints incorrect transaction balances	Investment journal activity seemingly incorrectly reported resulting in mismatch of balances from ending balance of a prior period and beginning balances of next period.	The workaround is for end users to use other available inquiry screens (e.g. ledger inquiry) to pull in the balances using specific COA.	General Ledger



Florida PALM Project Update

Scope – CMS Wave Known Issues

SIR #	SIR Type	Summary	Description	Impact to Customers Temporary Fix	Functional Area
SIR 6896	Interface	DMI001: Purchase Interest is getting calculated for Sale Transaction	DMI001 (Inbound Treasury Master) is not recording a sale as expected when Purchased Interest is included in the interface data by Bloomberg. This is causing the deal to not reflect the expected remaining balance.	Data conversion for Internally Managed investments will continue as planned. The daily interface for DMI001 (Inbound Treasury Master) will not be run in the automated batch schedule and the Division of Treasury will enter trade information into Florida PALM similar to the current process.	Deal Management
SIR 6897	Interface	DMI001: Not following FIFO method correctly when recording a sale	DMI001 should record sales using a First In First Out method where the oldest investment is sold first, and if there is a remaining balance to be sold, the next oldest gets sold, until the entire sale amount is recorded. However, when recording the sale in FIFO method the system is not fully selling the oldest investment prior to moving to the next oldest investment. This is resulting in partially sold investments when there should be fully sold investments.		
SIR 6898	Interface	DMI001: Incorrect sale amount provided in interface file	Bloomberg is providing the incorrect value in the Sale Transaction Amount field on the header record. This is causing sales to be recorded incorrectly in Florida PALM leading to incorrect investment balances.		



Florida PALM Project Update

Production Support

- ▶ Transition of Operations
 - Solution Center moving to Department of Financial Services – Office of Information Technology (DFS OIT) July 1st

Support Tier – Group	Department Contribution
Tier 1 – Solution Center	100%
Tier 2 – Functional	10%
Tier 2 – Development	5%
Tier 3 – Applications	5%
Tier 3 – Infrastructure	5%



Florida PALM Project Update

CMS Wave End User Resources

- ▶ CMS Wave Support
 - Florida PALM End User Manual
 - Security Access Manager Manual
 - Query Writing Overview training and 3 Job Aids released
 - 21 agencies' end users have completed 100 percent of training
 - 32 of 34 agencies completed at least 70% of available training
- ▶ User Support Labs begin July 13
 - Request form and schedule posted on the website



INDEPENDENT VALIDATION AND VERIFICATION (IV&V)

CONTENT PROVIDED BY MARK FAIRBANK



IV&V Assessment

- ▶ Comprehensive testing services for CMS Wave have been completed successfully.
 - SIRs are managed to resolution according to the prescribed standards and process. IV&V has detected no variances or compromises that deviate from those.
 - Known Issues have mutually agreeable workarounds in place and do not include defects or delayed functionality that pose risk due to unidentified requirements or intractable technical challenges.
- ▶ Full Dress Rehearsal exercises have been exhaustive and successfully completed.
 - The cutover checklist has undergone a proof of concept and has been updated and enhanced based on learnings following each Full Dress Rehearsal cycle.
 - IV&V observed improvements in execution, communication and supervision during each iteration.
- ▶ Interfaces with agencies have been developed and successfully tested.
 - The iterative and collaborative process used to confirm quality along with the organization of DFS Florida PALM Production Support Operations should provide confidence that the ability to conduct regular business is supported fully.
 - The Project team and partner organizations exhibit commitment and confidence to launch on schedule.
- ▶ IV&V has identified no reason to delay launch.



UPCOMING

MELISSA TURNER



Florida PALM Project Update

Upcoming

- ▶ Deployment communications
 - Completion of Pre-Cutover Activities
 - Start of Cutover Activities
 - Decision to Launch
 - Launch
 - Daily Post-Launch Status
 - Start of Post-Cutover Activities
 - Completion of Cutover Activities
- ▶ Request for suggested future ESC meeting topics



NEXT SCHEDULED MEETING

JULY 28, 2021

DEPARTMENT OF ENVIRONMENTAL PROTECTION



CONTACT INFORMATION

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