

# Florida **PALM**

Planning, Accounting, and Ledger Management



# EXECUTIVE STEERING COMMITTEE

DEPARTMENT OF FINANCIAL SERVICES

NOVEMBER 16, 2022



# PROJECT UPDATE

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# Florida PALM Project Update

## Budget – Fiscal Year 22-23

### FY 2022-2023 Spend Plan Summary As of October 31, 2022

Category\$1	Projected FYTD	Incurred FYTD	Released FYTD	Release Remaining
<b>FLAIR System Replacement</b>	<b>\$8,205,144</b>	<b>\$8,205,144</b>	<b>\$14,144,212</b>	<b>\$5,939,068</b>
CMS Remediation and Stabilization	\$846,918	\$846,918	\$1,000,000	\$153,082
Production Support	\$2,949,318	\$2,949,318	\$4,231,508	\$1,282,190
Oracle Software and Maintenance	\$499,351	\$499,351	\$806,951	\$307,600
Operational Project Administration	\$1,146	\$1,146	\$127,068	\$125,922
Enterprise Resource Planning Support Services	\$313,070	\$313,070	\$717,600	\$404,530
Information Warehouse Assessment	\$170,712	\$170,712	\$500,000	\$329,288
Facilities and Maintenance	\$317,195	\$317,195	\$476,085	\$158,890
Revert/Appropriate from FY 21-22	\$3,107,434	\$3,107,434	\$6,285,000	\$3,177,566
Implementation Services	\$0	\$0	\$0	\$0
Contingency	\$0	\$0	\$0	\$0
Settlement Agreement	\$5,991,399	\$5,991,399	\$5,991,399	\$0
Salaries & Benefits	\$2,016,279	\$2,016,279	\$6,525,356	\$4,509,077
HR Transfer and Risk Management Insurance	\$15,161	\$15,161	\$23,377	\$8,216
<b>Total:</b>	<b>\$16,227,983</b>	<b>\$16,227,983</b>	<b>\$26,684,344</b>	<b>\$10,456,361</b>



# Florida PALM Project Update

## Risks and Issues

- ▶ Since the last ESC meeting, no Risks or Issues have been opened or closed
- ▶ Issue 27 – Schedule delays prevented a fully committed Project schedule
- ▶ There are no open Risks with a score of 15 or greater



# Florida PALM Project Update

## Contract Update – Amendment 8

- ▶ Overview and progress of Amendment 8
  - Contract and Attachment 10 Service Level Agreement shared with House, Senate and IV&V for initial reviews
  - No updates for Attachment 12 Parent Company Agreement
  - No updates for Attachment 13 Software Source Code



# Florida PALM Project Update

## Personalized Agency Working Sessions

- ▶ 21 agency sessions are complete
  - Meetings with Enterprise Partners in December and January
- ▶ 2 Personalized Agency Working Sessions Checkpoints
  - 4 agencies have shared their experience during the Checkpoints
  - 19 new topics/questions added to the Understanding Agency Unique Processes
- ▶ Agencies to conduct Lessons Learned and share information
  - Identified additional impacted users or stakeholders
  - Discovering where FLAIR data is being used
  - Continued preparation for Florida PALM



# Florida PALM Project Update

## Requirements Review

- ▶ Requirements Review continues to progress
  - FLAIR Requirements Review – 64% Complete
  - Continuing to document decisions that require dispositioning
  - Continuing to log agency unique processes for consideration
  - Scheduled to complete by January 6, 2023





# Florida PALM Project Update

## Project Change Request

- ▶ PCR 92 – Production Enhancements
  - Custom table to categorize Cash in State Treasury
    - Supports GLR150 and GLI068 by separating cash appropriately
    - Separates out cash reporting for ACFR purposes from Treasury purposes
    - Replaces manual processes in place currently
    - Simplifies FYE and month-end closing
  - Process Automation to support Interest Apportionment
    - Creates a process to allow Treasury to trigger Outbound Interest Apportionment and Monthly Investment and Interest process to generate once they complete interest apportionment
    - Replaces current process of submitting a ticket request to manually run these jobs



# Florida PALM Project Update

## Project Change Request

- ▶ PCR 92 – Production Enhancements
  - Enhancement to Treasury Manual Reconciliation Deposit Payment Process
    - Brings in additional fields to assist with research for items requiring additional reviews/details
    - Includes new search ability to quickly find details for manual reconciliation items
    - Will assist Treasury with completing semi-manual reconciliations in a more streamlined approach, which will assist completing downstream processes quicker (e.g., book-to-bank reconciliation, interest apportionment)



# Florida PALM Project Update

## Project Change Request

- ▶ PCR 93 – Investment Accounting Integration
  - Brings forward GLI\_006 interface (RICEFW item) from the Financials Wave
  - Supports integrating Account level summary details from the Investment Accounting solution into Florida PALM (post to General Ledger)
  - Will only be used for integrating with Investment Accounting solution until the Financials Wave
  - Does not remove Investment Accounting requirements from Florida PALM
    - Will be removed with Amendment 8, or with separate PCR when Investment Accounting solution is deployed
  - Does not address cost related to removing requirements from Florida PALM scope
    - Will be addressed as part of Amendment 8



# Florida PALM Project Update

## Projects and Grants Survey

- ▶ All agencies responded
- ▶ Project team analyzed and shared responses with Advisory Council
- ▶ Responses confirm:
  - There is essentially no consistency between agencies
  - Standardizing is going to be extremely difficult
  - Bridge solution is needed for Financials Wave as going all in would be impossible for agencies



# INDEPENDENT VALIDATION AND VERIFICATION (IV&V)

CONTENT PROVIDED BY  
PUBLIC CONSULTING GROUP



# IV&V Update

## Personalized Agency Working Sessions

- ▶ Florida PALM IV&V Team is observing Personalized Agency Working Sessions (PAWS), in which Agencies present their FLAIR processes and customized features to the Florida PALM Project Team and SSI Vendor.
- ▶ IV&V is observing the Florida PALM Project Team's actions to mitigate IV&V Finding #10 (opened 09/23/2022) on the Risk of lack of Agency engagement communicating their FLAIR processes, causing incompletely defined Agency requirements.
- ▶ IV&V has sent requests to meet with four Agencies to understand better their engagement with the PAWS and further assess the open Risk.



# IV&V Update

## Penetration Testing

- ▶ The Florida PALM IV&V Team has assessed the WP293 Penetration Testing Plan and the Penetration Testing Work Product (WP293), completed by the SSI Vendor.
- ▶ The Florida PALM IV&V Team reviewed this assessment with the Florida PALM Project Director and Florida PALM Contract Manager on 10/31/2022 and is scheduled to submit the final Deliverable Assessment Report the week of November 14.



# IV&V Update

## Amendment 8 Attachments in Review

The Florida PALM IV&V Team has received and begun reviewing the following SSI Vendor contract Amendment 8 Attachments:

Attachment	Description	IV&V Received Date	IV&V Review Dates
N/A	Contract Negotiations	11/08/2022	11/08/2022 – 11/22/2022
10	Service Level Agreement	11/08/2022	11/08/2022 – 11/22/2022





# IV&V Update

## Amendment 8 Attachments Pending Review in 2023

The Florida PALM IV&V Team is scheduled to receive and review these documents according to this schedule:

Attachments	Description	IV&V Scheduled Review Dates
13	Guarantee, Source Code	11/08/2022 – 11/22/2022
1, 6	SOW & Definitions	01/17/2023 – 02/02/2023
8	Deliverable Acceptance Criteria	01/30/2023 – 02/09/2023
2	Payment Schedule	02/01/2023 – 02/09/2023
5	Business Requirements	02/27/2023 – 03/03/2023



# IV&V Update

## Fiscal Year 2022 Year-End Close Insights

- ▶ IV&V has completed interviews concerning the Fiscal Year 2022 (FY22) closing (08/26/2022) and transitioning reconciliation responsibilities from the Florida PALM Project Team to A&A and Treasury for the Fiscal Year 2023 (FY23)
- ▶ The Florida PALM IV&V Team provided interview details, insights, requests made, and IV&V recommendations in the October 2022 Monthly Assessment Report



# IV&V Update

## Open Findings

ID	Type	Priority	Summary	Date Logged	Update
IV&V ID: 10	Risk	Medium	<p>The Florida PALM Project may be at risk due to low levels of Agency involvement. Agency outcomes may also be at risk due to the poor definition of needs. This situation could result in missed requirements and potential rework to address the missed requirements. Robust Agency involvement is critical to proactively define needs for the Florida PALM system functionality.</p>	09/23/2022	<p>The Florida PALM IV&amp;V Team observed PAWS sessions conducted with Agencies to communicate their FLAIR business processes to the Florida PALM Project Team. IV&amp;V is also surveilling Florida PALM Project Team’s actions to mitigate this Risk and stimulate: 1) Agency retrospection on their FLAIR processes, and 2) Agencies communicating sufficient detail of their FLAIR processes to the Florida PALM Project Team.</p> <p>The Florida PALM IV&amp;V Team has requested meetings with several agencies to understand their perspective on the progress of PAWS and the availability of information while preparing for them.</p>



# IV&V Update

## Florida PALM Comprehensive Assessment

- ▶ Florida PALM IV&V Team is actively reviewing requirements, Project governance and management structure, and application artifacts
- ▶ Deliverable #4 – Conduct Agency Interviews on target for 11/17/2022



# UPCOMING

JIMMY COX



# Florida PALM Project Update

## Upcoming

- ▶ CMS Calculations Audit Final Report
- ▶ Information Warehouse Final Report
- ▶ Additional December meeting dates:
  - Monday, December 12 (Contract, Attachment 10)
  - Tuesday, December 13 (Contract, Attachment 10)
  - Wednesday, December 14 (regular meeting)



# CONTACT INFORMATION

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