

CHAPTER 497

69K-30.001 DISCIPLINARY GUIDELINES AND PENALTIES

DRAFT - WORKING DOCUMENT - 1/18/2018

(1) The purpose of the disciplinary guidelines is to give notice to licensees and others of the range of penalties which may be imposed for violations of particular provisions of chapter 497, F.S., and rules promulgated thereto. The disciplinary guidelines are based upon a single count violation of each provision listed. Multiple counts of violations of the same provision of chapter 497, F.S., or the rules promulgated thereto, or other unrelated violations contained in the same administrative complaint will be grounds for enhancement of penalties. All penalties at the upper range of the sanctions set forth in the guidelines, i.e., suspension, revocation, etc., may include lesser penalties, i.e., fines, costs, or probation which may be included in the final penalty at the board's discretion. If the board finds that a person is subject to disciplinary action, the board shall issue a final order imposing appropriate penalties within the ranges recommended in these disciplinary guidelines, in the absence of aggravating or mitigating factors.

(2) As an alternative to the provisions of section 497.153, F.S., and pursuant to section 497.155, F.S., the department may provide a licensee with a notice of noncompliance for a minor violation. Minor violations are deemed to be those violations for which there is no substantial threat to the public health, safety, and welfare.

(3) The department shall also have the authority to issue citations for the violations set forth herein if issued within six months after the completion of the inspection or examination or the filing of the complaint which is the basis of the citation. The licensee must confirm that the violation has been corrected or is in the process of being corrected, upon acceptance of the citation. For each violation, there is a range of penalties to be imposed depending upon whether the violation is the first or second violation of the particular provision within the previous six (6) year period. (Multiple occurrences of a specific violation within an examination report will be cited as one violation.)

(4) Definitions. As used in this rule:

(a) "Notice of noncompliance" means an instrument which meets the

requirements set forth in section 497.155, F.S., and which is served upon a person for the purpose of notifying the subject of the violation(s)

(b) "Citation" means an instrument which meets the requirements set forth in section 497.155, F.S., and which is served upon a person for the purpose of assessing a penalty in an amount established by this rule;

(c) "Costs" includes costs of investigation and prosecution as set forth in 497.153(5)(B), F.S.

(d) "Reprimand" is a discipline that the board may impose in addition to or in lieu of any other disciplinary penalty. A reprimand is represented by a written document.

(5) Unless otherwise set out herein, a violation of any provision under Chapter 497, F.S., or violation of any lawful order of the board or department will be considered a violation of section 497.152(1)(a), F.S., and may result in disciplinary action as follows:

(a) Penalty Range 1st Offense: Reprimand, fine of \$1000 to \$2500 plus costs. In addition, probation for 6 months to 1 year, suspension up to 2 years, permanent revocation of license and/or restitution may be imposed.

(b) Penalty Range Subsequent Offenses: Fine of \$2500 to \$5000 plus costs. In addition, probation for up to 2 years, suspension up to 5 years, permanent revocation of license and/or restitution may be imposed.

(6) Based upon consideration of the following aggravating and mitigating factors, the board may impose disciplinary action other than the penalties recommended herein:(a) The danger to the public;(b) The length of time since date of violation;(c) The number of complaints filed against the licensee;(d) The length of time licensee has practiced;(e) The actual damage, physical or otherwise, caused by the violation;(f) The deterrent effect of the penalty imposed;(g) The effect of the penalty upon the licensee's livelihood;(h) Any efforts for rehabilitation;(i) The actual knowledge of the licensee pertaining to the violation;(j) Attempts by licensee to correct or stop violations or refusal by licensee to correct or

stop violations;(k) Related violations against a license in another state including findings of guilt or innocence, penalties imposed and penalties served;(l) Penalties imposed for related offenses under these guidelines; and (m) Any other aggravating and mitigating circumstances.

(7) The board may also impose the following conditions in a disciplinary Order: (a) Fines and costs are to be paid by cashier's check or money order payable to the Board of Funeral, Cemetery, and Consumer Services and delivered to the board office at the Department of Financial Services, Board of Funeral, Cemetery, and Consumer Services, 200 East Gaines Street, Tallahassee, Florida 32399, within 30 days of the effective date of the Order; (b) Continuing Education, when imposed as a condition of probation, will be a minimum of two (2) hours and a maximum of six (6) hours per violation and shall be in addition to those hours required for license renewal. Unless otherwise indicated in the Order, all Continuing Education must be completed prior to the lifting of probation or suspension; (c) Licensee may be required to take and pass the Florida Laws and Rules Examination at his/her own expense, prior to the lifting of probation or suspension; and/or (d) Prior to the lifting of probation or suspension, the board may compel the licensee to attend an in-person meeting of the board and demonstrate compliance with the Order and demonstrate by testimony, presentation of letters of recommendation from friends and supervisors within the industry, and other indicia of rehabilitation, that he or she is able to resume practicing with reasonable care and safety and in compliance with the laws of the State of Florida and rules of this board.

(8) Upon motion and for good cause shown, the usual conditions may be altered by the board at the time discipline is imposed to reflect licensee's ability to comply. Changes include, but are not limited to, extension of time for payment of fines and costs, reduction of the interest rate imposed, altering the time for taking Continuing Education or the Florida Laws and Rules Examination, waiving appearance before the board altogether, or permitting appearance pursuant to paragraph (7)(d), by telephone due to incapacity.

(9) TRUST FUND RESTORATION: IN ADDITION TO ANY DISCIPLINE IMPOSED UNDER THESE GUIDELINES, THE BOARD MAY ORDER A LICENSEE TO REMIT THE FULL AMOUNTS REQUIRED BY CHAPTER 497 TO THE APPROPRIATE TRUST FUND AS PER 497.153(6), FLORIDA STATUTES.

(10) The provisions of these guidelines shall not be construed so as to prohibit civil action or criminal prosecution as provided for in section 497.153, 497.158 or 497.159, F.S., and shall not be construed so as to limit the ability of the board to enter into binding stipulations as per section 120.57(4), F.S.

(11) Violations and penalties are listed below. Descriptions of the violations are general in nature and may not identically match the language provided in statute.

Violation #	Statute/Rule Violated	Nature of Violation	CURRENT PENALTY REFERENCES FOR EACH VIOLATION Current rule penalties in highlights – citations in blue; fines in yellow NOTE: THESE COLUMNS ARE FOR REFERENCE ONLY AND WILL BE REMOVED FROM FINAL DISCIPLINARY GUIDELINES		PROPOSED PENALTIES FOR EACH VIOLATION Proposed Penalty based upon Rule Committee Meetings	
			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
DISCIPLINE UNDER SECTIONS 497.152(1)(A) AND (B), F.S., SHALL BE LIMITED TO VIOLATIONS NOT OTHERWISE ADDRESSED BY THESE GUIDELINES.						
GENERAL PROVISIONS						
1	497.151(2)	Failing to maintain procedures for handling complaints	Citation of \$200	Citation of \$400	Notice of non-compliance	Citation of \$200
2	497.152(1)(b)	Committing fraud, deceit, negligence, incompetence, or misconduct	Reprimand, fine of \$1000-2500 + costs, 6 mos 1 yr probation with usual conditions	Reprimand, fine of \$2500-5000, revocation or denial + costs 2 yr probation with usual conditions	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year, suspension up to 2 years, permanent revocation of license and/or restitution may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 5 years, permanent revocation of license and/or restitution may be imposed.
3	497.152(1)(c)	Failing to maintain one or more of the qualifications for holding a license under this chapter	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for up to 2 years with conditions or suspension up to 2 years may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years, or permanent revocation of license may be imposed.
4	497.152(1)(d)	Refusing to sell or issue a contract to any person because of race, color, creed, marital status, sex, or national origin	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for up to 2 years with conditions may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years, or permanent revocation of license may be imposed.
5	497.152(2)	Being convicted of, pleading nolo, regardless of adjudication to crime directly relates to practice or ability to do so	Reprimand, fine of \$1000-2500 + costs	Fine of \$2500-5000, revocation or denial + costs	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, permanent revocation of license and/or restitution may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years, permanent revocation of license, and/or restitution may be imposed.

Note: Where current penalty reference indicates "N/A" – There is not a current specific rule setting penalties for violation of the indicated provision. However, in cases where violations did not have corresponding specific penalties, violations historically have been charged under the general provisions of s. 497.152(1)(a), F.S. This has resulted in potential fines of \$1,000 - \$2,500 for first offenses and \$2,500 - \$5,000 for subsequent offenses.

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6	497.152(3)	Having been disciplined by a regulatory agency in any jurisdiction for conduct that would violate Chapter 497 if committed in this state, or upon grounds that directly relate to the ability to practice under this chapter	Reprimand, fine of \$1000-2500 + costs, 6 mos 1 yr probation with usual conditions	Reprimand, fine of \$2500-\$5000 + costs, 6 mos 1 yr probation with usual conditions	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 3 years, or permanent revocation of license may be imposed.
7	497.152(4)(a)	Improperly interfering with investigation or inspection	Reprimand, fine of \$1000-2500 + costs, 6 mos 1 yr probation with usual conditions	Fine of \$2500-5000, revocation or denial + costs 2 yr probation with usual conditions	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
8	497.152(4)(b)	Failure to comply with a lawfully issued subpoena of the department	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
9	497.152(4)(c)	Refused to produce records to the department or board in connection with any activity regulated pursuant to this chapter	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
10	497.152(4)(d)	Failing to report person licensee knows is in violation of this chapter if the violation is creating a serious and immediate danger to the public health, safety, or welfare	Reprimand, fine of \$1000-2500 + costs, 6 mos 1 yr probation with usual conditions	Reprimand, fine of \$2500-5000, revocation or denial + costs 2 yr probation with usual conditions	Reprimand, fine of \$2000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$3500-\$5000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years, or permanent revocation of license may be imposed.

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11	497.152(4)(e)	Knowingly conceal information relative to violations of Ch.497	6 mos 1 yr probation with usual conditions + costs + reference to State Attorney for misdemeanor punishable pursuant to 775.082 or 775.083	Fine of \$1000 + costs, 1 yr suspension with usual conditions revocation or denial + reference to State Attorney for misdemeanor punishable to 775.082 or 775.083	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years, or permanent revocation of license may be imposed.
12	497.152(4)(f)	Obtaining or attempting to get license by bribery, false or forged evidence, or misrepresentation	6 mos 1 yr suspension with usual conditions + costs + reference to State Attorney for misdemeanor punishable pursuant to 775.082 or 775.083	Fine of \$1000 + costs, 1 yr suspension with usual conditions revocation or denial + reference to State Attorney for misdemeanor punishable pursuant to 775.082-or 775.083	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
13	497.152(4)(g)	Knowingly filing false report, failing to file required report or impeding filing report with any government entity	Citation of \$800	Citation of \$1,600	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
			Reprimand, fine of \$1000-2500 + costs, 6 mos 1 yr probation with usual conditions	Reprimand, fine of \$2500-5000, revocation or denial + costs 2 yr probation with usual conditions		
14	497.152(4)(h)	Failing to perform any statutory or legal obligation placed upon a licensee	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$250-\$2000 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$1000-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.

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15	497.152(5)(a)	Practicing or offering to practice beyond the scope permitted by this chapter and rules adopted under this chapter for the type of license held	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
16	497.152(5)(b)	Attempt to or use a suspended, revoked, inactive or delinquent license	Fine of \$100-500 per month of violation + costs, probation 6 mos 1 yr with usual conditions 6 mos 1 yr suspension with usual conditions + costs + reference to State Attorney for misdemeanor punishable pursuant to 775.082 or 775.083	Fine of \$500-1000 per month of violation + costs, 1 yr probation with usual conditions revocation or denial Fine of \$1000 + costs, 1 yr suspension with usual conditions revocation or denial + reference to State Attorney for misdemeanor punishable pursuant to 775.082 or 775.083	If delinquent, \$250 per month. If other, fine of \$2000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years or permanent revocation of license may be imposed.	If delinquent, fine of \$1000-\$2500. If other, fine of \$2500-\$5000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years or permanent revocation of license may be imposed.
17	497.152(5)(c)	Represent as your own license of another	6 mos 1 yr suspension with usual conditions + costs + reference to State Attorney for misdemeanor punishable pursuant to 775.082 or 775.083	Fine of \$1000 + costs, 1 yr suspension with usual conditions revocation or denial + reference to State Attorney for misdemeanor punishable pursuant to 775.082 or 775.083	Reprimand, fine of \$2000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years or permanent revocation of license may be imposed.	Fine of \$3500-\$5000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years or permanent revocation of license may be imposed.

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18	497.152(5)(d)	Aiding, assisting, procuring, employing, or advising any person to practice a profession or occupation regulated by this Chapter without required licensure	6 mos 1 yr suspension with usual conditions + costs + reference to State Attorney for misdemeanor punishable pursuant to 775.082 or 775.083 Fine of \$500-\$1000 + costs, reprimand, 6 mos 1 yr probation with usual conditions	Fine of \$1000 + costs, 1 yr suspension with usual conditions revocation or denial + reference to State Attorney for misdemeanor punishable pursuant to 775.082 or 775.083 Fine of \$1000-\$2500, costs, 2 yr probation with usual conditions revocation or denial	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
19	497.152(5)(e)	Aiding, assisting, procuring, employing, or advising any person to operate or to operate an establishment regulated by this chapter without the required licensure	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
20	497.152(5)(f)	Delegating professional activities to a person when the licensee knows or has reason to know the person is not qualified to perform	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
21	497.152(5)(g)	Using a title suggesting licensure that the person does not hold	6 mos 1 yr suspension with usual conditions + costs + reference to State Attorney for misdemeanor punishable pursuant to 775.082 or 775.083	Fine of \$1000 + costs, 1 yr suspension with usual conditions revocation or denial + reference to State Attorney for misdemeanor punishable pursuant to 775.082 or 775.083	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.

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22	497.152(5)(h)	A direct disposer engaging in the practice of direct burial or offering the at-need or preneed service of direct burial	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$2000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$3500-\$5000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years, or permanent revocation of license may be imposed.
23	497.152(6)	Failing to timely comply with continuing education course requirements	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$250
24	497.152(7)(a)	Having been found liable in civil proceedings for knowingly filing a false report or complaint against another licensee with the department or the board	Reprimand, fine of \$1000-\$2500 + costs, 6 mos 1 yr probation with usual conditions	Reprimand, fine of \$2500-\$5000, revocation or denial + costs 2 yr probation with usual conditions	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
25	497.152(7)(b)	Making misleading statements or misrepresentations as to the financial condition of any person to damage that person's business regulated under Chapter 497	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$2000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$3500-\$5000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years, or permanent revocation of license may be imposed.
26	497.152(8)(a)	Violating any state or local law/rule affecting handling, custody, care, or transport of bodies	Fine of \$500-\$1000, reprimand, costs	Fine of \$2500-\$5000, costs, probation revocation or denial	Reprimand, fine of \$500-\$2500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years, or permanent revocation of license may be imposed.
27	497.152(8)(b)	Refusing to promptly surrender custody of body on express order of a legally authorized person	Reprimand, fine of \$1000-\$2500 + costs, 6 mos 1 yr probation with usual conditions	Reprimand, fine of \$2500-\$5000, revocation or denial + costs 2 yr probation with usual conditions	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Reprimand, fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.

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28	497.152(8)(c)	Taking possession of body w/out permission from a legally authorized person	Reprimand, fine of \$1000-\$2500 + costs, 6 mos 1 yr probation with usual conditions	Reprimand, fine of \$2500-\$5000 revocation or denial + costs 2 yr probation with usual conditions	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Reprimand, fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
29	497.152(8)(d)	Embalming w/out prior permission of a legally authorized person	Reprimand, fine of \$1000-\$2500 + costs, 6 mos 1 yr probation with usual conditions	Reprimand, fine of \$2500-\$5000 revocation or denial + costs 2 yr probation with usual conditions	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Reprimand, fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
30	497.152(8)(e)	Failing to obtain written authorization from a legally authorized person before entombment, interment, disinterment, disentombment, or disinurnment of human remains	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
31	497.152(9)(a)	Solicitation by or on behalf of licensee by fraud, undue influence, or other vexatious conduct	Reprimand, fine of \$1000-\$2500 + costs, 6 mos 1 yr probation with usual conditions	Reprimand, fine of \$2500-\$5000 revocation or denial + costs 2 yr probation with usual conditions	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Reprimand, fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
32	497.152(9)(b)	Exercising influence on client for financial gain	Reprimand, fine of \$1000-\$2500 + costs, 6 mos 1 yr probation with usual conditions	Reprimand, fine of \$2500-\$5000 revocation or denial + costs 2 yr probation with usual conditions	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Reprimand, fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
33	497.152(9)(c)	Discouraging the purchase of any burial merchandise or burial service which is advertised or offered for sale, with the purpose of encouraging the purchase of any additional or more expensive burial merchandise or service as provided in section	Citation of \$500	Citation of \$1,000	Reprimand, fine of \$500-\$1000 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Reprimand, fine of \$1000-\$1500 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
34	497.152(9)(d)	Misrepresenting the benefits, advantages, conditions, or terms of any contract to provide any services or merchandise regulated under this chapter	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, permanent revocation of license, and/or restitution may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, permanent revocation of license, and/or restitution may be imposed.
35	497.152(9)(e) 69K-29.001(2)	Fraudulent, false, deceptive, or misleading advertising	Reprimand, fine of \$1000-\$2500 + costs, 6 mos 1 yr probation with usual conditions	Reprimand, fine of \$2500-\$5000 revocation or denial + costs 2 yr probation with usual conditions	Reprimand, fine of \$500-\$2000 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Reprimand, fine of \$2000-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
36	497.152(9)(f)	Directly or indirectly making any deceptive, misleading, or untrue representations, whether oral or written, or employing any trick, scheme, or artifice related to any license regulated under this chapter	Reprimand, fine of \$500-\$1000 + costs, 6 mos 1 yr probation with usual conditions	Reprimand, fine of \$1000-\$2500 + costs, 2yr probation with usual conditions revocation or denial	Reprimand, fine of \$500-\$2000 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Reprimand, fine of \$2000-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
37	497.152(10)	Making any false or misleading statements, or misrepresentations regarding the sale or necessity of burial or funeral merchandise or services	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$500-\$2000 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Reprimand, fine of \$2000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
38	497.152(10)(f)	Making any false or misleading statement or claim that natural decomposition or decay of human remains can be prevented or substantially delayed by embalming, use of a gasketed or ungasketed casket, or use of an adhesive or nonadhesive closure on an outer burial container	Reprimand, fine of \$1000-\$2500 + costs, 6 mos 1 yr probation with usual conditions	Reprimand, fine of \$2500-\$5000 revocation or denial + costs 2 yr probation with usual conditions	Reprimand, fine of \$500-\$2000 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Reprimand, fine of \$2000-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
39	497.152(10)(g)	Making any false or misleading statement, oral or written, directly or indirectly, regarding any law or rule pertaining to the preparation for disposition, transportation for disposition, or disposition of dead human bodies	Reprimand, fine of \$1000-\$2500 + costs, 6 mos 1 yr probation with usual conditions	Reprimand, fine of \$2500-\$5000 revocation or denial + costs 2 yr probation with usual conditions	Reprimand, fine of \$500-\$2000 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Reprimand, fine of \$2000-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
40	497.152(11)(a)	Failing to furnish, for retention, to each purchaser of burial rights, burial or funeral merchandise, or burial or funeral services a written agreement, the form of which has been approved by the board	Fine of \$500-\$1000 + costs, 6 mos 1 yr probation with usual conditions Citation of \$800	Fine of \$2500-\$5000 + costs, 1 yr 2 yr probation with usual conditions Citation of \$1,600	Reprimand, fine of \$500-\$1000 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Reprimand, fine of \$2500-\$5000 plus costs. In addition, probation for 1 year to 2 years with conditions may be imposed.
41	497.152(11)(b)	Filling in any contract form for use with a particular customer using language that misrepresents the true nature of the contract	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
42	497.152(11)(c)	Selling an irrevocable preneed contract to a person who is not an applicant for or recipient of supplemental security income, temporary cash assistance or Medicaid	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years, or permanent revocation of license may be imposed.
43	497.152(11)(d)	Except for preneed contracts, guaranteeing the price of goods and services at a future date	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
44	497.152(11)(e)	Requiring casket purchase for cremation or claiming it is required	Reprimand, fine of \$1000-\$2500 + costs, 6 mos 1 yr probation with usual conditions	Reprimand, fine of \$2500-\$5000 revocation or denial + costs 2 yr probation with usual conditions	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
45	497.152(11)(f)	If caskets are displayed for sale, failing to display the least expensive casket offered for sale in the same manner other caskets are displayed	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Fine of \$1000-\$2500 plus costs. In addition, probation for up to 3 years with conditions or suspension up to 3 years may be imposed.
46	497.152(11)(g)	Assessing fees and costs that have not been disclosed to the customer as provided in the section	Citation of \$500	Citation of \$1,000	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, permanent revocation of license, and/or restitution may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, permanent revocation of license, and/or restitution may be imposed.
47	497.152(11)(h)	Failure by a licensed cemetery to provide to any person upon request, a copy of the cemetery bylaws (ONLY if the cemetery has bylaws).	Citation of \$200	Citation of \$400	Notice of non-compliance	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
48	497.152(11)(i)	Requiring lot owners or current customers to make unnecessary visits to the cemetery company office for the purpose of solicitation, as provided in section	Citation of \$800	Citation of \$1,600	Reprimand, fine of \$500-\$1000 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$1000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
49	497.152(12)(a)	Failure to disclose, when requested, the components of the prices for alternatives offered by the licensee regarding graveside services, direct disposition and body donation	Fine of \$500-\$1000 + costs, 6 mos 1 yr probation with usual conditions	Fine of \$2500-\$5000 + costs, 1 yr 2 yr probation with usual conditions	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
50	497.152(12)(b)	Fails to provide itemized price list as described before discussion of selection	Fine of \$500-\$1000 + costs, 6 mos 1 yr probation with usual conditions 6 mos 1 yr suspension with usual conditions + costs Citation of \$200	Fine of \$2500-\$5000 + costs, 1 yr 2 yr probation with usual conditions Fine of \$1000, 1 yr suspension with usual conditions revocation + costs Citation of \$400	Reprimand, fine of \$250-\$500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$1000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
51	497.152(12)(c)	Failing to reasonably provide by telephone, upon request, accurate information regarding the retail prices of funeral merchandise and services offered for sale by that licensee	Fine of \$500-\$1000 + costs, 6 mos 1 yr probation with usual conditions	Fine of \$2500-\$5000 + costs, 1 yr 2 yr probation with usual conditions	Reprimand, fine of \$250-\$500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$1000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
52	497.152(12)(d)	Failing to make full disclosure regarding merchandise not to be disposed of with body or obtain permission	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$250-\$500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$1000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
53	497.152(12)(e)	Failure by any funeral director to fully disclose all of her or his available services and merchandise prior to the selection of a casket offered by a licensee. The full disclosure required shall identify what is included in the funeral or direct disposition and the prices of all services and merchandise provided by the licensee or registrant	Fine of \$500-\$1000 + costs, 6 mos 1 yr probation with usual conditions	Fine of \$2500-\$5000 + costs, 1 yr 2 yr probation with usual conditions	Reprimand, fine of \$250-\$500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$1000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
54	497.152(12)(f)	Failing to have the price of any casket offered for sale clearly marked on or in the casket, whether the casket is displayed at a funeral establishment or at any other location, regardless of whether the licensee is in control of such location. If a licensee uses books, catalogs, brochures, or other printed display aids, the price of each casket shall be clearly marked	Fine of \$500-\$1000 + costs, 6 mos 1 yr probation with usual conditions	Fine of \$2500-\$5000 + costs, 1 yr 2 yr probation with usual conditions	Notice of non-compliance	Fine of \$1000-\$2500 plus costs. In addition, probation for up to 3 years with conditions or suspension up to 3 years may be imposed.
55	497.152(12)(g)	Failing to disclose all fees and costs the customer may incur to use the burial rights or merchandise purchased	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$250-\$500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$1000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
56	497.152(13)(a)	Failing without reasonable justification to timely honor contracts entered into by the licensee or under the licensee's license for funeral or burial merchandise or services	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$500-\$1000 plus costs. In addition, probation for up to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$1500-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
57	497.152(13)(b)	Failure to honor preneed contract cancellation requests and make refunds as required by the chapter	Citation of \$800	Citation of \$1,600	Reprimand, fine of \$250-\$1000 plus costs. In addition, probation for up to 1 year with conditions, suspension up to 1 year, permanent revocation of license and/or restitution may be imposed.	Fine of \$1000-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, permanent revocation of license and/or restitution may be imposed.

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
58	497.152(14)(a)	Failing to adopt and implement standards for the proper investigation and resolution of claims and complaints received by a licensee relating to the licensee's activities regulated by this chapter	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$200
59	497.152(14)(b)	Committing or performing with such frequency as to indicate a general business practice any of the actions listed in the section, including those regarding complaints or claims relating to the licensee's activities	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$2000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$3500-\$5000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years, or permanent revocation of license may be imposed.
60	497.152(14)(c)	Making a material misrepresentation to a contract purchaser or a legally authorized person for the purpose and with the intent of effecting settlement of a claim or complaint or loss under a prepaid contract on less favorable terms than those provided in, and contemplated by, the prepaid contract	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$500-\$2000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$ 2000-\$5000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years, or permanent revocation of license may be imposed.
61	497.152(14)(d)	Failing to maintain a complete copy of every complaint received by the licensee since the date of the last examination of the licensee by the department	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$200
62	497.152(15)(a)	Failing to timely pay any fee required by this chapter	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Fine of \$500-\$1000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
63	497.152(15)(b)	Remittance of less than 100% and more than 90% of the amounts required to be deposited to a trust fund shall be considered a MINOR violation of this section	Citation of \$800	Citation of \$1,600	Notice of non-compliance	Fine of \$500-\$1000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
64	497.152(15)(b)	Remitting less than 90% of the amounts required to be deposited to a trust fund shall be considered a NON-MINOR violation of this section	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
65	497.152(15)(c)	Paying to or receiving from any organization, agency, or person, either directly or indirectly, any commission, bonus, kickback, or rebate in any form whatsoever for any business regulated under this chapter, except for the payment of commissions by a funeral director, funeral establishment, cemetery, or monument establishment to its preneed agents licensed pursuant to this chapter or to licensees under this chapter	Reprimand, Fine of \$1000-\$2500 + costs, 6 mos 1 yr probation with usual conditions	Reprimand, fine of \$2500-\$5000, revocation or denial + costs, 2 yr probation with usual conditions	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Reprimand, fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions may be imposed.
66	497.157(1)	Practicing any regulated activity under Chapter 497 without a license in good standing	6 mos 1 yr suspension with usual conditions + costs + reference to State Attorney for misdemeanor punishable pursuant to 775.082 or 775.083 Citation of \$300	Fine of \$1000 + costs, 1 yr suspension with usual conditions revocation or denial + reference to State Attorney for misdemeanor punishable pursuant to 775.082 or 775.083	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
67	497.164 69K-9.002 69K-9.003	Improper solicitation of goods or services as provided in cited statute/rules	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
68	497.171 69K-6.009	Failing to properly affix an identification tag to a casket or other container in the manner required by this rule	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$500 - \$1000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$1500 - \$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
69	69K-6.005	Failing to make records available to the department for examination or inspection	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
70	69K-6.0051	Failing to maintain account receivable records in the manner required by this rule	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Fine of \$1000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
71	69K-6.0052	Failure to prepare and provide accurate work papers to the department for examination in the manner required by this rule	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000 -\$2000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$2000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
72	69K-6.0054(2)	Making additions or deletions on a preneed contract in violation of the rule	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$500-\$1000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$1000-\$1500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
73	69K-6.0054(3)	Only one preneed licensee and one seller shall be referenced in a contract, unless previously approved by the division	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$500-\$1000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$1000-\$1500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
74	69K-9.001	Failure of a licensee or registrant to maintain a file of all brochures and related documents delivered to prospective customers and copies of advertising actually printed, published, broadcast, or placed on any electronic media	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$250 or fine up to \$1000 plus costs.

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
75	69K-17.0041	A continuing education provider committing any of the acts enumerated in paragraph 6(a), (b), (c), (d), (e), (f), (g), or (h) of this rule (if the continuing education provider is a chapter 497 licensee)	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Suspension of approval	Revocation of approval
76	69K-17.0043	Failure of a continuing education provider to maintain the records required by this rule (if the continuing education provider is a chapter 497 licensee)	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Suspension of approval	Revocation of approval
77	69K-17.0044	Advertising continuing education courses in violation of this rule (if the continuing education provider is a chapter 497 licensee)	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Suspension of approval	Revocation of approval
CEMETERY REGULATION						
78	497.264(1)	Operating cemetery at a location other than licensed	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$2000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$3500-\$5000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years, or permanent revocation of license may be imposed.
79	497.266(3)	A person may not withdraw or transfer any portion of assets within the care and maintenance trust fund, except as authorized by s. 497.2675, without first obtaining written consent from the licensing authority	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$3500-\$5000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years, or permanent revocation of license may be imposed.
80	497.267	Using withdrawals from the care and maintenance trust fund for purposes other than allowed by this section	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Fine of \$500-\$1500 plus costs.
81	497.268(1)(a)(b)(c)	Failing to set aside the required monies to the care and maintenance trust fund (if greater than referenced in 497.152(15)(b))	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$2000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$3500-\$5000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years, or permanent revocation of license may be imposed.

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
82	497.268(2)	Failure of cemetery to consistently make timely deposits to any trust fund	Citation of \$200	Citation of \$400	Reprimand, fine of \$500-\$2000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$2000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
83	497.270(1)	Selling, mortgaging, leasing, or encumbering land in a licensed cemetery without prior approval of the licensing authority	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$2000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$3500-\$5000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years, or permanent revocation of license may be imposed.
84	497.270(2)	Selling or conveying 30 or more contiguous acres of a licensed cemetery without obtaining prior written approval, if the new use of the property is not a cemetery.	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$2000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$3500-\$5000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years, or permanent revocation of license may be imposed.
85	497.270(3)(a)	Selling or conveying property described in 497.270(2) without complying with the notice requirements set forth in 497.270(3).	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$2000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$3500-\$5000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years, or permanent revocation of license may be imposed.
86	497.270(4)	Conveying real and personal property to a municipality or county without prior written approval of the department.	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$2000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$3500-\$5000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years, or permanent revocation of license may be imposed.

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
87	497.271	Failing to comply with standards for construction and significant alteration or renovation of mausoleums and columbaria as set out in section	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$2000-\$3500 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years, or permanent revocation of license may be imposed.
88	497.272(1)	Failing to meet the construction timeframes, as provided in section	Citation of \$800	Citation of \$1,600	Notice of non-compliance	Fine of \$1000-\$5000 plus costs. In addition, probation for up to 3 years with conditions or suspension up to 3 years may be imposed.
89	497.272(2)	Failure of a cemetery company to establish a preconstruction trust fund prior to offering space in a mausoleum, columbarium or below ground crypts before construction	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$2000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$3500-\$5000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years, or permanent revocation of license may be imposed.
90	497.272(3)	Failing to deposit the proper amounts into the preconstruction trust fund, if one is required (if greater than referenced in 497.152(15)(b))	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$2000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$3500-\$5000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years, or permanent revocation of license may be imposed.
91	497.273(2)	Failure of a cemetery company to fully disclose all fees for interment, entombment, or inurnment of human remains	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$500-\$1000 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Fine of \$1000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
92	497.273(3)	Failing to comply with bylaws as set out in section (if the cemetery has adopted bylaws)	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$500-\$1000 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Fine of \$1000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.

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93	497.274(2)(a)	Failing to prepare and maintain a map documenting the establishment of recoverable internal survey reference markers and establish the markers as outlined in section	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$500-\$1000 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Fine of \$1000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
94	497.276	Failure to maintain accurate burial records and have all financial records available for examination by department in the manner required by the section	Citation of \$200 (financial records) Citation of \$800 (burial records)	Citation of \$400 (financial records) Citation of \$1,600 (burial records)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
95	497.277	Assessing other charges in violation of section	Citation of \$500	Citation of \$1,000	Reprimand, fine of \$500-\$1000 plus costs. In addition, probation for 6 months to 1 year with conditions and/or restitution may be imposed.	Fine of \$1000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, permanent revocation of license and/or restitution may be imposed.
96	497.278(1)	Charging a fee for the installation of a monument obtained from and installed by a person other than the cemetery company or its agents	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$500-\$1000 plus costs. In addition, probation for 6 months to 1 year with conditions and/or restitution may be imposed.	Fine of \$1000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, permanent revocation of license and/or restitution may be imposed.
97	497.278(2)	Failing to mark the place on a grave where a monument is to be installed as described in section	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Reprimand, fine of \$500-\$1000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.

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98	497.278(3)	Establishing a condition for entry on or access to cemetery property as specified in section	Citation of \$200	Citation of \$400	Notice of non-compliance	Reprimand, fine of \$500-\$1000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
99	497.280(1)	Attempting to sell grave space tied to the purchase of a monument from or through the seller or any other designated person or corporation	Citation of \$500	Citation of \$1,000	Notice of non-compliance	Reprimand, fine of \$500-\$1000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
100	497.280(2)(a)	Failure to have reasonable rules, if adopted, conspicuously posted	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$200
101	497.280(2)(b)	Requiring the payment of a setting or service charge from third party installers for placing a monument	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$500-\$1000 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Fine of \$1000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
102	497.280(2)(b)1	Requiring the payment of a setting or service charge, by whatever name known, from third party installers for the placement of a monument, as provided in section	Citation of \$500	Citation of \$1,000	Reprimand, fine of \$500-\$1000 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Fine of \$1000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
103	497.280(2)(b)2	Refusing to provide care or maintenance for any portion of a gravesite on which a monument has been placed	Citation of \$500	Citation of \$1,000	Reprimand, fine of \$500-\$1000 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Fine of \$1000-\$2500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.

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104	497.280(2)(b)3	Requiring a person to waive liability with respect to damage to a monument after installation, where the monument or installation service is not purchased from the person, cemetery company or other entity authorized to sell or to provide grave space	Citation of \$500	Citation of \$1,000	Reprimand, fine of \$500-\$1000 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Fine of \$1000-\$2500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
105	497.280(3)	Conditioning any program offering free burial rights by any requirement to purchase additional burial rights, services, or funeral merchandise	Citation of \$500	Citation of \$1,000	Reprimand, fine of \$500-\$1000 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Fine of \$1000-\$2500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
106	497.281(1) and (2)	Failing to comply with licensure requirements of brokers of burial rights as set out in section	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
107	497.282(1)	Providing inaccurate information by telephone upon request, regarding retail prices of burial merchandise and services	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$250-\$500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$1000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
108	497.282(2)	Failing to fully disclose services or merchandise prior to selection	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$250-\$500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$1000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.

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109	497.282(3)	Making false or misleading statements as to casket/outer burial container	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$500-\$2000 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Reprimand, fine of \$2000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
110	497.282(4)	Failing to provide a good faith estimate of all fees and costs the consumer will incur to use any burial right, merchandise, or services purchased	Citation of \$200	Citation of \$400	Reprimand, fine of \$250-\$500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$1000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
111	497.282(5)	Failing to provide to the customer upon request a current copy of the bylaws of the licensee	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for up to 2 years with conditions or suspension up to 2 years may be imposed.
112	497.282(6) 69K-6.0054(1) 69K-6.0054(4)	Failing to provide to the customer a written contract containing required information which has previously been approved	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$500-\$1000 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Fine of \$1000-\$2500 plus costs. In addition, probation for 1 to 2 years with conditions may be imposed.
113	497.282(6)(c) 69K-8.006 69K-6.0054(1) 69K-6.0054(4)	Failing to state the type, size and design of merchandise and the description of the service to be delivered or performed as required in these provisions	Citation of \$200	Citation of \$400	Citation of \$200	Citation of \$400

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114	497.282(7) 69K-6.0054(1) 69K-6.0054(4)	Failing to provide the licensee's policy on cancellations and refunds to each customer	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Reprimand
115	497.282(8) 69K-6.0054(1) 69K-6.0054(4)	Failing to provide certain information on a signature page, and/or failing to provide that information in a correct type size	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Reprimand
116	497.282(9) 69K-9.004	Failure to display the cemetery and preneed brochure required by this rule	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$200
117	497.282(10) 69K-6.0054(1)	Failing to provide each customer a complete description of any monument or marker	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Citation of \$200	Citation of \$400
118	497.283	Cemetery is prohibited from entering into contracts where delivery is made more than 120 days after receipt of final payment	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
119	497.285	Prohibited conduct of surrendered licenses; inactive cemeteries	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
120	497.286	Improper activity by cemeteries regarding abandoned cemetery rights	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$200

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121	69K-6.002	Failing to maintain cemetery grounds in reasonable condition	Citation of \$500	Citation of \$1,000	Notice of non-compliance	Fine of \$1000-\$2500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
122	69K-6.004	Failing to keep burial records and contracts in a secure manner as set out in rule	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$500
FUNERAL DIRECTING, EMBALMING AND RELATED SERVICES						
123	497.365	Practicing a profession or occupation regulated by Chapter 497, without an active status license	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
124	497.372(2)	Practicing as a funeral director without affiliation with a licensed funeral establishment	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
125	497.375(1)(d)	Operating as a funeral director intern without direct supervision of a licensed funeral director	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
126	497.380(3)	Managing or operating an unlicensed funeral establishment	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
127	497.380(6) and (7)	Failing to have appropriately licensed personnel at a funeral establishment	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
128	497.380(12)(a) 69K-21.002(4)(b)	Failing to promptly report the following changes to a funeral establishment: change of ownership	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
129	497.380(12)(b) 69K-21.002(4)(a)	Failing to promptly report the following changes to a funeral establishment: change of location	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
130	497.380(12)(c)	Failing to promptly report the following changes to a funeral establishment: change of funeral director in charge	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$500
131	497.380(14)	Failure of a funeral establishment to display at the public entrance the name of the establishment and the name of the full-time funeral director in charge	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$500
132	497.380(14)	Failure of a funeral establishment to conduct business under its licensed name	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Reprimand, fine of \$1000-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
133	497.380(15)	Failure of a funeral establishment to display the following: name of the establishment, name of the funeral director in charge, and recent photograph of each licensee within 6 years	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$200

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
134	497.380(15) 497.604(10) 69K-21.005	Failure to properly display current license and other documents, or make them readily available, as set out in the rule	Citation of \$250	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$200
135	497.381(4)	Conducting at-need solicitation	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
136	497.382 69K-20.001(2) and (3)	Failure to properly complete, retain, and make available for review the monthly reports of cases embalmed or bodies handled	Citation of \$250	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$200
137	497.384(1)	Failure to require the physical presence of a licensed funeral director at the disinterment and reinterment of human remains (unless the reinterment is to be made in the same cemetery)	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
138	497.384(3)	Failure of a funeral director to obtain written authorization from a legally authorized person or a court of competent jurisdiction prior to the disinterment and reinterment of a dead human body	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
139	497.385(1)(g)	Failure of a removal service, or refrigeration facility to notify the Division of a change of ownership of location	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
140	497.385(1)(h)	Having a person who was identified on the application for licensure of a removal service or refrigeration facility, convicted, found guilty, or who has entered a plea of nolo contendere to a crime related to their field of practice	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
141	497.385(1)(i)	Failure of removal service or a refrigeration facility to properly display the required information; or, failure of these entities to transact business under the name to which they were licensed	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$500
142	497.385(2)(a) 69K-24.042	Failure of a centralized embalming facility to have all necessary equipment in the preparation room	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Reprimand, fine of \$2000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
143	497.385(2)(b)	Failure of a centralized embalming facility to have a full-time embalmer in charge	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
144	497.385(2)(d)	Failure of a centralized embalming facility to restrict its services to funeral establishments	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
145	497.385(2)(e)	Failure of a centralized embalming facility to have a licensed embalmer doing the embalmings	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
146	497.385(2)(g) , (i)	Failure of a centralized embalming facility to notify the Division of a change in ownership or location	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
147	497.386(1)	Licensee stored human remains at an improper location	Fine of \$500-1000 + costs, 6 mos 1 yr probation with usual conditions	Fine of \$1000-2500 + costs, 1 yr 2 yr probation with usual conditions	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
148	497.386(2)	Failing to properly refrigerate human remains	Fine of \$500-1000 + costs, 6 mos 1 yr probation with usual conditions	Fine of \$1000-2500 + costs, 1 yr 2 yr probation with usual conditions	Reprimand, fine of \$500-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
149	497.386(3)	Failing to comply with section requiring that transported human remains must be placed in a container adequate to prevent seepage of fluids and offensive odors, and must be accompanied by a burial transit permit	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
150	497.386(4) 69K-33.001(2)(c)	Failing to comply with section requiring that dead human bodies must be transported or stored completely covered and at all times treated with dignity and respect	Fine of \$500-1000 + costs, 6 mos 1 yr probation with usual conditions	Fine of \$1000-2500 + costs, 1 yr 2 yr probation with usual conditions	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
151	497.387	Removing or embalming human remains in cases indicating crime or violence without the permission of a medical examiner or other lawfully authorized official	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
152	69K-6.007	Failing to receive proper authorization before performing a burial, interment, entombment, or inurnment	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
153	69K-6.008	Failure of the cemetery company to ensure that all interments, except cremated remains, shall be covered by at least 12 inches of soil where physically possible, unless prior approval is obtained from the family or next of kin	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Reprimand, fine of \$500-\$1000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
154	69K-7.001	Remittance of less than 100% and more than 90% of the amounts required to be deposited to the Care and Maintenance Trust Fund shall be considered a MINOR violation of this section	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Fine of \$500-\$1000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
155	69K-7.001	Remitting less than 90% of the amounts required to be deposited to the Care and Maintenance Trust Fund shall be considered a NON-MINOR violation of this section	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
156	69K-7.005	Where a performance bond is given to the department in lieu of the payments provided by section 497.272(3), F.S., and the cemetery company sells spaces in a mausoleum or below-ground crypt prior to the delivery of the bond to the department and receipt of acceptance by the cemetery or its agent	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$2000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$3500-\$5000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years, or permanent revocation of license may be imposed.
157	69K-21.002(4)(c)	Failure to notify the department, at least 10 days prior to the event, that major alterations or modifications are made in the physical structure of a funeral establishment	Citation of \$250	N/A (SEE FOOTER)	Reprimand, fine up to \$500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine up to \$1000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
158	69K-21.003(1)	Failure of a funeral establishment to comply with the provisions of this rule	Citation of \$250	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
159	69K-21.003(5)	Failure to meet the requirements of chapter 64E-16, F.A.C., and to maintain prep room in a clean and sanitary manner	Citation of \$250	N/A (SEE FOOTER)	Notice of non-compliance	Reprimand, fine of \$1000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
160	69K-21.003(7)	Failure of a funeral establishment to display casket prices where caskets are located	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for up to 3 years with conditions or suspension up to 3 years may be imposed.
161	69K-21.006	Failure of a funeral establishment to retain all copies of signed at need and preneed contracts and written agreements used in making final arrangements for at least 2 years after such final disposition	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$200

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
162	69K-21.007	Failure of a full-time funeral director in charge of a funeral establishment to make sure that a licensed funeral director is reasonably available to the public during normal business hours for that establishment	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Reprimand, fine of \$250-\$500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
163	69K-21.008	Failure to notify the board office within 20 days of any change in the funeral director in charge (FDIC)	Citation of \$250	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$500
164	69K-29.001	Engaging in false, fraudulent, deceptive, or misleading advertising	Citation of \$250	N/A (SEE FOOTER)	Reprimand, fine of \$500-\$2000 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Fine of \$2000-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
165	69K-33.001	Failure of a funeral establishment to follow requirements for handling and storing human remains	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$500-\$2500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years, or permanent revocation of license may be imposed.
PRENEED SALES						
166	497.452(1)(a)	Selling or offering to sell a preneed contract without a preneed license	Reprimand, fine of \$1000-2500 + costs, 6 mos 1 yr probation with usual conditions to suspension until compliant	Reprimand, fine of \$2500-5000, revocation or denial + costs 2 yr probation with usual conditions, to suspension until compliant	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, permanent revocation of license, and/or restitution may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, permanent revocation of license, and/or restitution may be imposed.

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
167	497.452(1)(b)	Selling, advertising to sell, or making an arrangement for services, merchandise, or burial rights on a preneed basis without the licensure required to sell at-need	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, permanent revocation of license, and/or restitution may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, permanent revocation of license, and/or restitution may be imposed.
168	497.452(2)	Accepting funds for payment of a preneed contract without holding a preneed license	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, permanent revocation of license, and/or restitution may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, permanent revocation of license, and/or restitution may be imposed.
169	497.453(6) 497.456(2)	Failing to comply with the filing and remittance requirements	Citation of \$200	Citation of \$400	Notice of non-compliance	Fine of \$500-\$1000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
170	497.453(7)	Failure of cemetery, preneed sales, or monument establishment to register a branch name for common business enterprise	Citation of \$200	Citation of \$400	Notice of non-compliance	Citation of \$200
171	497.453(8)	Failure of a preneed licensee to file a full and true statement of a trust's activities on or before April of each year	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Citation of \$200, if corrected	Fine of up to \$1000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
172	497.454 69K-6.0054(1) 69K-6.0054(5)	Failing to have preneed contracts and related forms filed with and approved by the board, as required by section	Citation of \$800	Citation of \$1,600	Reprimand, fine of \$500-\$1000 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for 1 year to 2 years with conditions may be imposed.
173	497.4555	Failure of a preneed licensee to disclose charges for processing, filing, and archiving the contract on its standard printed price list and other disclosure information provided to a purchaser	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$400
174		<i>NOTE: THE VIOLATION CONTAINED IN THIS FIELD WAS MOVED AND INCORPORATED WITH ANOTHER IN ROW 169. THIS ROW HAS BEEN LEFT AS A SPACE HOLDER JUST TO AVOID RENUMBERING IN DRAFT FORMAT. FINAL FORMAT WILL DELETE AND RENUMBER AS NECESSARY.</i>				
175	497.456(11)	Using the consumer protection trust fund as an inducement for purchasing a preneed contract	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
176	497.458(1)(a)	Remittance of less than 100% and more than 90% by a preneed licensee of the proper amounts required to be deposited to a trust fund shall be considered a MINOR violation of this section	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Fine of \$500-\$1000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
177	497.458(1)(a)	Remitting less than 90% of the proper amounts required to be deposited to a trust fund shall be considered a NON-MINOR violation of this section	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
178	497.458(1)(c)	Failure of a preneed licensee to make the proper deposit within 30 days after the end of the month in which payment is received	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$500-\$1500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$1500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
179	497.458(1)(f)	Failure to keep the deposited funds in trust, except for authorized costs for operating the trust or trust account	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$2000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$3500-\$5000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years, or permanent revocation of license may be imposed.
180	497.459	Failing, without reasonable justification, to honor cancellations and to issue refunds as provided in sections	Citation of \$800	Citation of \$1,600	Reprimand, fine of \$250-\$1000 plus costs. In addition, probation for up to 1 year with conditions, suspension up to 1 year, permanent revocation of license and/or restitution may be imposed.	Fine of \$1000-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, permanent revocation of license and/or restitution may be imposed.
181	497.459(3) 69K-6.0054(4)	Use a preneed contract which does not contain the required disclosures (unless the contract is approved by the board)	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$500-\$1000 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for 1 year to 2 years with conditions may be imposed.
182	497.459(5)	Failure of a preneed licensee to return specified funds after default by purchaser and after notice by licensee	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$250-\$1000 plus costs. In addition, probation for up to 1 year with conditions, suspension up to 1 year, permanent revocation of license and/or restitution may be imposed.	Fine of \$1000-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, permanent revocation of license and/or restitution may be imposed.
183	497.459(6)(d)	Failure of a preneed licensee to make timely refunds after cancellation of contract	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$250-\$1000 plus costs. In addition, probation for up to 1 year with conditions, suspension up to 1 year, permanent revocation of license and/or restitution may be imposed.	Fine of \$1000-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, permanent revocation of license and/or restitution may be imposed.

Note: Where current penalty reference indicates "N/A" – There is not a current specific rule setting penalties for violation of the indicated provision. However, in cases where violations did not have corresponding specific penalties, violations historically have been charged under the general provisions of s. 497.152(1)(a), F.S. This has resulted in potential fines of \$1,000 - \$2,500 for first offenses and \$2,500 - \$5,000 for subsequent offenses.

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
184	497.460	Failing to honor the preneed contract cancellation request by the heirs of a contract purchaser as provided in section	Citation of \$800	Citation of \$1,600	Reprimand, fine of \$250-\$1000 plus costs. In addition, probation for up to 1 year with conditions, suspension up to 1 year, permanent revocation of license and/or restitution may be imposed.	Fine of \$1000-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, permanent revocation of license and/or restitution may be imposed.
185	497.462(1)(c).	Failure of a preneed licensee to properly and timely file the report used to determine the amount of a surety bond if that is used in place of trust fund deposits	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$500
186	497.465(2)	Failure of a preneed license, upon becoming inactive, to cease all preneed sales to the public or failure to deposit into the trust all funds received on or after the date on which it becomes inactive	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, permanent revocation of license, and/or restitution may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, permanent revocation of license, and/or restitution may be imposed.
187	497.466(1)	Failure of a person to secure a preneed license who offers or who executes preneed contracts, unless exempt from licensure	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, permanent revocation of license, and/or restitution may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, permanent revocation of license, and/or restitution may be imposed.
188	497.466(7)(g)	Failure of a preneed licensee or a preneed sales agent licensee to terminate an appointment in the manner prescribed herein	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$200
189	497.467	Failure of a person engaged in preneed sales of funeral or burial merchandise to notify a purchaser in writing that the merchandise will be accepted in the cemetery of the purchaser's choice	Citation of \$200	Citation of \$400	Notice of non-compliance	Citation of \$200

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
190	497.468(1)	A preneed licensee providing inaccurate information by telephone upon request, regarding retail prices of burial merchandise and services	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$250-\$500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$1000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
191	497.468(2)	A preneed licensee failing to fully disclose all services or merchandise prior to selection (same as page 13)	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$250-\$500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$1000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
192	497.468(3)	A preneed licensee making false or misleading statements as to the necessity of casket or outer burial container (same as line 37, page 9)	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$250-\$500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$1000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
193	497.468(4)	A preneed licensee failing to provide a good faith estimate of all fees and costs the customer will incur	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$250-\$500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$1000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
194	497.468(5) 69K-6.0054(1) 69K-6.0054(4)	A preneed licensee failing to provide to the customer a written contract containing required information which has previously been approved	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$500-\$1000 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for 1 year to 2 years with conditions may be imposed.

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
195	497.468(6) 69K-6.0054(1) 69K-6.0054(4)	A preneed licensee failing to provide the licensee’s policy on cancellations and refunds to each customer (unless the contract is approved by the board)	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Citation of \$200	Citation of \$400
196	497.468(7) 69K-6.0054(1) 69K-6.0054(4)	A preneed licensee failing to provide certain information on a signature page, and/or failing to provide that information in a correct type size (unless the contract is approved by the board)	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Citation of \$200	Citation of \$400
197	497.468(8) 69K-6.0054(1) 69K-6.0054(4)	A preneed licensee failing to display the Division’s brochure	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$200
198	497.468(9) 69K-6.0054(1) 69K-6.0054(4)	A preneed licensee failing to provide each customer a complete description of any monument or marker	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Citation of \$200	Citation of \$400
199	69K-7.006	Failure of a preneed licensee to compile prior to July 1 of each year, a list including the wholesale purchase price for each item of merchandise the licensee will offer for the next 12 months	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$500
200	69K-7.007	Failure to account for and maintain merchandise trust funds in accordance with this rule	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$500
201	69K-7.008	Failure of a preneed licensee to take reasonable steps to evaluate the income derived from a contract	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$200

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
202	69K-7.022	Failure of a preneed licensee to maintain documentation supporting fulfillment of a contract until such records are examined by the department	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
203	69K-10.003	Failure to timely deposit the required amounts to the Preneed Funeral Contract Consumer Protection Trust Fund	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$500
MONUMENT ESTABLISHMENT						
204	497.550(1)	Conducting, maintaining, managing, or operating a monument establishment unless the monument establishment is licensed	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
205	497.552	Failure of a monument establishment to maintain a full-service place of business at a specific street address or location and comply with the requirement set out in section	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
206	497.553(3)	Failure of monument establishment to have written procedures for the receipt, investigation and disposition of customer complaints or failure of staff to follow such procedures	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$500-\$1000 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Fine of \$1000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
207	497.553(4)	Failure of monument establishment to maintain records of written complaints, in the manner described, for inspection by the department	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$200

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
208	497.553(5)	Failure of monument establishment to deliver and install a purchased monument or marker by the date agreed in the sales agreement, unless the agreed date has been extended in writing by the customer	Citation of \$500	Citation of \$1,000	Reprimand, fine of \$500-\$1000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$1000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
209	497.554(1)	Selling monuments, markers, or related products for a monument establishment without being licensed as a monument establishment sales agent	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
210	497.554(6)	Selling monuments, markers, and related products on a preneed basis for a monument establishment without obtaining authorization as a preneed sales agent	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
CREMATION, CREMATORIES, AND DIRECT DISPOSITION						
211	497.601(1)	Direct disposers or funeral directors functioning as direct disposers shall not sell, conduct, or arrange for burials, funeral services, memorial services, visitations, or viewings and hold themselves out to the public as funeral directors, or represent themselves or advertise in a manner that connotes that they are funeral directors	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
212	497.602(1)	Any person, other than a licensed funeral director, who engages in direct disposition without being licensed as a direct disposer	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
213	497.604(1)	Opening or maintaining an establishment to engage in direct disposition without such establishment being licensed pursuant to this section	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
214	497.604(7)	Failure of a direct disposer to provide notice prior to any change in location	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
215	497.604(7)	Failure of a direct disposer to provide notice prior to any change in control of the licensee	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
216	497.604(7)	Failure of a direct disposer to provide notice prior to any change in the licensed person in charge of the licensee's operation	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$500
217	497.604(8)(a)	Failure of a direct disposal establishment to have one full time licensed funeral director acting as the direct disposer in charge, unless the direct disposer meets the listed requirements as of September 30, 2010	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
218	497.604(8)(b)	Failure of a licensed funeral director or licensed direct disposer in charge of a direct disposal establishment to be reasonably available to the public during its normal business hours; or failure to be in charge of only one disposal establishment	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$200

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
219	497.604(9)(b)	Practicing direct disposition at a fixed location of less than 625 interior contiguous square feet or failure to properly refrigerate and store dead human bodies handled and stored	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
220	497.604(9)(d)	Failure of a direct disposal establishment to display at the public entrance the name of the establishment and the name of the licensed direct disposer or licensed funeral director in charge of the establishment	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$500
221	497.604(9)(d)	Failure of a direct disposal establishment to transact business under its licensed name	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Reprimand, fine of \$1000-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
222	497.604(9)(f)	Failure of a direct disposal establishment to retain all signed contracts for at least 2 years	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$200
223	497.604(10)	Failure of a direct disposer, direct disposer establishment, or funeral director acting as a direct disposer, to properly display required information; or, failure of a licensee to affix a photograph of the licensee taken within the previous six years to each displayed license	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$200
224	497.606(1)	A person conducting, maintaining, managing, or operating a cinerator facility which is not licensed and in good standing	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
225	497.606(7)	Failure of a cinerator facility to obtain prior approval before commencing operation at a new location	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
226	497.606(8)	Failure of a cinerator facility to have one full-time licensed direct disposer or licensed funeral director in charge of the facility	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
227	497.606(9)(b)	Placing more than one dead human body in a retort at one time, unless written permission has been received from a legally authorized person for each body	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$2000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$3500-\$5000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years, or permanent revocation of license may be imposed.
228	497.606(9)(d)	Receiving other than dead human bodies for cremation; not medical, hazardous or biohazardous waste for disposal in a retort	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$500-\$1500 plus costs.	Fine of \$1500-\$2500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
229	497.606(9)(e)	Failing to display at its public entrance the name of the facility and the name of the person responsible for the facility	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$500
230	497.606(9)(e)	Failing to transact business under its licensed name	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Reprimand, fine of \$1000-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.

Note: Where current penalty reference indicates "N/A" – There is not a current specific rule setting penalties for violation of the indicated provision. However, in cases where violations did not have corresponding specific penalties, violations historically have been charged under the general provisions of s. 497.152(1)(a), F.S. This has resulted in potential fines of \$1,000 - \$2,500 for first offenses and \$2,500 - \$5,000 for subsequent offenses.

Violation #	Statute/Rule Violated	Nature of Violation	CURRENT PENALTY REFERENCES FOR EACH VIOLATION Current rule penalties in highlights – citations in blue; fines in yellow NOTE: THESE COLUMNS ARE FOR REFERENCE ONLY AND WILL BE REMOVED FROM FINAL DISCIPLINARY GUIDELINES		PROPOSED PENALTIES FOR EACH VIOLATION Proposed Penalty based upon Rule Committee Meetings	
			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
231	497.606(9)(f)	Having a direct disposer in charge of a cinerator facility when it is located at the same address as a funeral establishment	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
232	497.606(9)(g)	Placing human remains or body parts in a retort or cremation chamber, unless the human remains are in an alternative container, cremation container, or casket	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine up to \$1500 plus costs.	Fine up to \$2500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
233	497.606(9)(h)	Using alternative containers, cremation containers or caskets for cremation that do not comply with all requirements of this subsection	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine up to \$1500 plus costs.	Fine up to \$2500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
234	497.607(1)	Performing a cremation without written authorization from a legally authorized person	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$2000-\$3500 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.	Fine of \$3500-\$5000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years, or permanent revocation of license may be imposed.
235	497.607(1)	Failing to perform a cremation within 48 hours after a specified time which has been agreed to in writing by the person authorizing the cremation	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
236	497.607(3)(b)	Disposing of cremated remains without making a reasonable effort to determine whether the cremated remains are those of a veteran of the United States Armed Forces, United States Reserve Forces, or National Guard eligible for burial in a national cemetery or a spouse or dependent child of a veteran eligible for same	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$200-\$500 plus costs. In addition, probation for up to 1 year with conditions.	Fine of \$500-\$1000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years, or permanent revocation of license may be imposed.
237	497.607(3)(c)	If unclaimed cremated remains are those of an eligible veteran or the spouse or dependent child of an eligible veteran, failing to arrange for the interment of the cremated remains in a national cemetery	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$200-\$500 plus costs. In addition, probation for up to 1 year with conditions.	Fine of \$500-\$1000 plus costs. In addition, probation for up to 3 years with conditions, suspension up to 3 years, or permanent revocation of license may be imposed.
238	497.608(2)	Failing to establish written procedures for the removal of cremated remains resulting from the cremation of a human body and the post cremation processing, shipping, packing, or identifying of those remains	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$200
239	497.608(4)	Failing to provide a copy of the procedures used by the cinerator facility, upon request, to customers and their representatives, the department, and other legally authorized persons	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$250
240	69K-12.003	Failure of a monument establishment to receive approval by the board of its retail sales agreement prior to use	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$500-\$1000 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for 1 year to 2 years with conditions may be imposed.
241	69K-12.004	Failure of a monument establishment to use a sales agreement that contains all of the requirements of this rule (unless the contract is approved by the board)	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$500-\$1000 plus costs. In addition, probation for 6 months to 1 year with conditions may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for 1 year to 2 years with conditions may be imposed.
242	69K-20.001(3)	Failure to complete monthly reports of cases embalmed or bodies handled	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$200

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			Penalty Range 1st Offense	Penalty Range Subsequent Offenses	Penalty Range 1 st Offense	Penalty Range Subsequent Offenses
243	69K-22.001	Failure of a cinerator facility to obtain a cinerator facility license prior to being operated or opening for business	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Reprimand, fine of \$1000-\$2500 plus costs. In addition, probation for 6 months to 1 year with conditions, suspension up to 1 year, or permanent revocation of license may be imposed.	Fine of \$2500-\$5000 plus costs. In addition, probation for up to 2 years with conditions, suspension up to 2 years, or permanent revocation of license may be imposed.
244	69K-22.003(5)	Failure of a cinerator facility to notify the board office of a name change within 30 days	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$500
245	69K-23.004(9)	Failure of a direct disposer to notify the board office of a name change within 30 days	N/A (SEE FOOTER)	N/A (SEE FOOTER)	Notice of non-compliance	Citation of \$500

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