DEPARTMENT OF FINANCIAL SERVICES
Division of Treasury – Bureau of Collateral Management

COLLATERAL TRANSACTION FORM

Department of Financial Services
Division of Treasury
Bureau of Collateral Management
200 East Gaines Street
Tallahassee FL 32399-0345

INSTRUCTIONS: Complete <u>all</u> relevant parts of the 'Collateral Transaction Table' below for each transaction type. You may choose to replace the table below by pasting the required data into this Form using the same labels. Submit this completed form to the Chief Financial Officer by email at CollateralManagement@myfloridacfo.com. Use the following terms in completing this form:

- For the **Transaction Type** column, for each transaction, use one of the following: deposit, withdrawal, substitution, transfer of collateral between accounts at a custodian, and transfer of collateral from a custodian to another custodian. Each transaction requires a new row.
    - Provide **Recipient Custodian** information only for a transfer of collateral from the releasing custodian to the recipient custodian. Use "**Custodian**" below for the releasing custodian.
- For the **Collateral Type** column, use "BE" below as Book Entry Security/Collateral, "LOC" as Letter of Credit, and "CASH" as cash held in deposit with the Chief Financial Officer.
- For the **Collateral Identifier** column, provide the respective identifier:
    - (a) for book entry securities, the nine-digit CUSIP number identifying the specific security issue;
    - (b) for cash, the term "CASH"; and
    - (c) for letters of credit, the letter of credit number.

Qualified Public Depository (QPD)
Legal Name of QPD:
FEIN:

Custodian
Legal Name of Custodian:
Custodian Contact Name:

Recipient Custodian (FOR TRANSFER BETWEEN CUSTODIANS ONLY)
Legal Name of Custodian:
Custodian Contact Name:

Collateral Transaction Table

Transaction Type	Collateral Type	Collateral Identifier	Original Par	Estimated Market Value
			$ $ $ $ $ $ $ $ $	$ $ $ $ $ $ $ $ $
			Total $	Total $

By signing below, I am authorized to sign on behalf of the foregoing Pledgor, and certify that:
1. the pledgor has entered into a collateral agreement with this custodian to secure Florida public deposits;
2. the pledgor owns the pledged collateral and acknowledges that the Chief Financial Officer has perfected security interest pursuant to section 280.041, Florida Statutes;
3. the pledged securities meet the eligibility requirements of Section 280.04, Florida Statutes; and
4. that the market value of the remaining pledged collateral is equal to or greater than our required collateral.

Authorized Signature for QPD: _____
Date:
Printed Name:
Title:

Collateral Transaction Form
DFS-J1-1005, effective MM/YY
Rule 69C-2.0061, F.A.C.

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