

Jason Juretich
5040 Sturbridge Court
Grand Blanc, Michigan 48439
p: 810.964.2900
email: jason.juretich@gmail.com

To whom it may concern;

After a fulfilling career as a securities regulator over the past 15 years and having preceded this experience by private industry employment, the time has come to explore other career opportunities. The goal of future employment is to maintain a presence within the financial regulation community.

My background in financial regulation has provided extensive experience in many financial fields. Exposure to the industry in such a capacity is an excellent opportunity to understand the many facets of the financial industry including but not limited to multiple investment adviser models, mortgage, banking, insurance, credit unions, credit counselors, debt management companies, as well as broker dealers.

Please accept my resume and cover letter as a proper introduction and willingness to communicate with your department to promote an open dialogue.

Best regards,

Jason Juretich, Esquire

Jason Juretich

5040 Sturbridge Court
Grand Blanc, Michigan 48439
Phone: 810.964.2900
jason.juretich@gmail.com

Securities Examiner
Bureau of Commercial Licensing
State of Michigan

Education:

Thomas M. Cooley Law School
Juris Doctor, 2016
Florida Bar Association Member

University of Michigan, Flint
Bachelors of Arts, Economics and German, 1998

Work Experience:

Securities Examiner: State of Michigan
October 2003 thru Present

- Serves as Examiner-in-Charge to provide guidance to multiple examiners for routine and for cause examinations concerning more complex and higher risk SEC registered broker-detailers, SEC registered agents, SEC registered investment advisers and State registered investment advisers.
- Engages in on-site examinations of insurance and securities firms registered with FINRA in accordance with MUSA, the Investment Company and Investment Adviser Act of 1940 and other applicable federal laws.
- Utilizes data to determine risk to clients in order to develop a more efficient and coordinated examination program.
- Conducts the registration activities of investment adviser firms, investment adviser representatives and registered agents of broker dealers; ensuring compliance with federal and state regulations.
- Performs complex investigations which must coordinate with local, county, state and federal law enforcement to see the prosecution of securities related crimes.
- Reviews both private and public offerings to ensure compliance with state and federal laws, rules and regulations .
- Participates in multiple state and coordinated federal compliance information sessions with question – answer forums regarding securities law related issues.
- Testified before Grand Jury, State Criminal Court, and Federal Courts.

Cash Specialist:

Louisiana-Pacific Corporation, Troy, MI
January 2001 – October 2003

- Allocating and monitoring incoming and outgoing cash and credit in order to optimize cash flow and coordination between departments.
- Analyzing and correcting irregularities between accounts and real time manufacturing information.
- Examining and determining the value of current customers. Researching the economic viability of corporations extended credit.
- Analyzing customer accounts to evaluate credit and to determine risk involving current assets.
- Handled currency and export issues with international destinations of freight and letters of credit.
- Contacting customers regarding disputes concerning their credit status in regards to their corporations value, currency evaluations and the economic viability of the corporations.

Project Manager:

NSI Datatechs, Inc., Huntingwoods, MI
October 1999 – January 2001

- Worked in managerial capacity to ensure maximum workflow accomplished.
- Hired twelve individuals to complete a contract with Detroit Edison.
- Monitored and ensured quality of data captured by employees.
- Utilized resources to ensure the performance and was inline with the company's goal.
- Monitored the productivity of employees to
- Established a proper working environment in order to provide guidance concerning the procedures and controls of the corporation.
- Solving issues arising from both information and employees to ensure the team was coordinating its efforts toward accomplishing the end goal.

Cost Analyst:

Delphi, Flint MI
May 1998 – October 1999

- Tracked and analyzed supplier accounts.
- Reconciled non-productive inventory account pertaining to metals under analysis.
- Monitored Non-task productive inventory balances.
- Compiled balance charts for data analysis functions related to inventory and cost.

Juretich, Jason

810-964-2900 jason.juretich@gmail.com

Application	
Status:	New
Country:	United States
Attachments to be included in all Job Submissions:	Submission Attachments 0 attached
Attachments Added After Submission	Submission Attachments 0 attached
Vacancy Source:	Agency Website
Relatives: To your knowledge, do you have any relatives working in this agency?	No
Gender:	Male
Race (Check only one):	White
Ethnicity (Check only one):	Not Hispanic or Latino
Date of Birth:	04/02/1974
Right To First Interview	Not Applicable
	If you responded yes to the above statement, attach a copy of your official layoff letter when applying for this vacancy.
Veteran Status	None of the Above
ARE YOU CURRENTLY EMPLOYED WITH THE AGENCY TO WHICH YOU ARE CURRENTLY APPLYING?	No
HAVE YOU RECEIVED A PROMOTIONAL APPOINTMENT WITHIN THE CAREER SERVICE, SUBSEQUENT TO ACTIVE MILITARY SERVICE, WITH THE AGENCY TO WHICH YOU ARE APPLYING?	No
People First Initial VP Review	No Selection
People First Eligible VP Category (if different)	No Selection
Agency Final VP Eligibility Review	No Selection
Agency Final VP Category Determination	No Selection

Background Information

A "yes" answer to these questions will not automatically bar you from employment. The nature, job-relatedness, severity, and date of the offense in relation to the position you are applying are considered. [see 112.011, F.S.].

Comments
There are no items in this section.

- Correspondence**
- 01/25/2019 People First Action: Email
Thank You for Your Interest in Job COMMISSIONER OF...
 - 01/26/2019 People First Action: Email
Thank You for Your Interest in Job COMMISSIONER OF...
 - 01/26/2019 People First Action: Email
Thank You for Your Interest in Job COMMISSIONER OF...
 - 01/26/2019 People First Action: Email
Thank You for Your Interest in Job COMMISSIONER OF...

Offer Letter
There are no items in this section.

- Application Status Audit Trail**
- 01/25/2019 People First New
 - 01/26/2019 People First Withdrawn By Candidate
 - 01/26/2019 People First New
 - 01/26/2019 People First Withdrawn By Candidate
 - 01/26/2019 People First New
 - 01/26/2019 People First Withdrawn By Candidate
 - 01/26/2019 People First New

Tags
There are no items in this section.

More Information

Alternate Phone Number:	810.964.2900
*Mailing Address :	5040 Sturbridge Court
*City	Grand Blanc
*State:	Michigan

Have you ever been convicted of a felony or a first degree misdemeanor ?	No
If yes, what were the charges ?	
Where ? (City/State)	
Date	
Have you ever pled nolo contendere or guilty to a crime which is a felony or a first degree misdemeanor ?	No
If yes, what were the charges ?	
Where ? (City/State)	
Date	
Have you ever had the adjudication of guilt withheld for a crime which is a felony or first degree misdemeanor ?	No
If yes, what were the charges ?	
Where ? (City/State)	
Date	
	Signature
	I am aware that any omissions, falsifications, misstatements, or misrepresentations above may disqualify me for employment and, if I am hired, may be grounds for termination at a later date. I understand that any information I give may be investigated as allowed by law. I consent to the release of information about my ability, employment history, and fitness for employment by employers, schools, law enforcement agencies, and other individuals and organizations to investigators, human resources staff, and other authorized employees of Florida state government for employment purposes. The consent shall continue to be effective during my employment if I am hired. I understand that applications submitted for state employment are public records. I certify to the best of my knowledge and belief all of the statements contained herein and on any attachments are true, correct, complete, and made in good faith. If applicable, Complete Qualifying Questions prior to submitting your application.
By checking this box, I certify that I have read and agree with these statements	Yes
Interview Result	
overdueInterviews	

*ZIP Code:	48439
*Country	United States
*Exemption from public record: Are you a current or former law enforcement officer, other covered employee** or the spouse or child of one, whose information is exempt from public records disclosure under Section 119.071(4)(d), Florida Statutes (F.S)?	No
*Citizenship: The State of Florida hires only U.S. citizens and lawfully authorized alien workers. You will be required to provide identification and either proof of citizenship or proof of authorization to work in the U.S. Are you a U.S. citizen or legally authorized to accept employment with the specific hiring authority to which you are applying?	Yes
*Selective Service: Section 110.1128, Florida Statutes, prohibits employment by the state (including re-hire after a break in service) of any male born on or after October 1, 1962, who failed to register with the Selective Service System, under the provisions of the U.S. Military Selective Service Act, during the person's period of eligibility (ages 18 through 25). Additionally, if currently employed by the state, this law prohibits the promotion of such person. You may be required to provide documentation. If you are a male born on or after October 1, 1962, have you registered with the Selective Service or do you have proof of an exemption from this requirement?	Yes

Screening Details	
Are you legally authorized to work in the United States?	Yes
Will you now or in the future require sponsorship for employment visa status (e.g. H-1B visa status)?	No
Do you have five (5) or more years of responsible private sector experience working full-time in areas within the scope of the subject matter jurisdiction of the Office of Financial Regulation within the last ten (10) years?	Yes
If you answered "yes" to the previous question, please indicate the number of years of experience and elaborate in detail your experience. If no, please respond N/A.	Prior to my employment at the state my employment with the various corporations are under the control of licensing division in the state of Michigan and various federal agencies. My position with Louisiana Pacific was heavily regulated by the SEC and EPA. We were monitored in the accounting department for transactions and having securities filings that were accurate. My position at NSI datatechs was monitored under the public utility act. We were directly employed by a public utility company.
Do you have five (5) or more years of experience as a senior examiner or other senior employee of a state or federal agency having regulatory responsibility over financial institutions, finance companies, or securities companies?	Yes
If you answered "yes" to the previous question, please indicate the number of years of experience and elaborate in detail your experience. If no, please respond N/A.	Currently employed with the state of Michigan for 15 years. With this employment many facets of the financial industry has been under my review. The securities industry has been the focus of my career, however mortgage, insurance, banking and credit counseling have all fallen under my review at one time. Many of the individuals who occupy one industry are also employed in like areas.
Do you claim exemption from the public records law under the provision of the Florida Statutes 119.071(4)? If so, please provide exemption category.	N/A

Periods of Employment	
*Name of Employer	State of Michigan
*Your Job Title	Senior Securities Examiner
*Currently Employed	Yes
*Start Date	10/01/2003
*End Date	MM/DD/YYYY

*Hours Per Week	40
Employer's Address	State of Michigan
Supervisor's Name	Linda Cena
Supervisor's Phone Number	517-241-6176
*Duties and Responsibilities	Securities Regulation and Enforcement
*Reason For Leaving	Current Employer
Your name, if different during employment	

*Name of Employer	Louisiana-Pacific Corporation
*Your Job Title	Cash Specialist
*Currently Employed	No
*Start Date	01/01/2001
*End Date	10/01/2003
*Hours Per Week	40
Employer's Address	Troy, MI
Supervisor's Name	Kathleen Mckenzie
Supervisor's Phone Number	
*Duties and Responsibilities	Accounting Specialist and Budget
*Reason For Leaving	Office Closure
Your name, if different during employment	

*Name of Employer	NSI Datatechs, Inc.
*Your Job Title	Project Manager
*Currently Employed	No
*Start Date	10/01/1999
*End Date	01/01/2001
*Hours Per Week	50
Employer's Address	Huntingwoods, MI
Supervisor's Name	Fernando Guevara
Supervisor's Phone Number	
*Duties and Responsibilities	* Worked in managerial capacity to ensure maximum workflow accomplished. * Hired twelve individuals to complete a contract with Detroit Edison. * Monitored and ensured quality of data captured by employees. * Utilized resources to ensure the performance and was inline with the company's goal. * Monitored the productivity of employees to * Established a proper working environment in order to provide guidance concerning the procedures and controls of the corporation. * Solving issues arising from both information and employees to ensure the team was coordinating its efforts toward accomplishing the end goal.
*Reason For Leaving	Project Completed
Your name, if different during employment	

*Name of Employer	Delphi Automotive
--------------------------	-------------------

*Your Job Title	Cost Analyst
*Currently Employed	No
*Start Date	05/01/1998
*End Date	10/01/1999
*Hours Per Week	40
Employer's Address	Delphi, Flint MI
Supervisor's Name	Theresa Sabin
Supervisor's Phone Number	
*Duties and Responsibilities	Accounting Specialist
*Reason For Leaving	Downsizing
Your name, if different during employment	

Formal Education

*Name of School	Thomas M. Cooley Law School
*Location	Lansing
*Start Date	09/06/2011
End Date	06/30/2016
Course of Study	Juris Doctor
*Degree Earned (transcripts may be required)	Doctorate
Credit Hours – Quarter	
Credit Hours – Semester	

*Name of School	University of Michigan
*Location	Flint
*Start Date	06/01/1994
End Date	06/30/1998
Course of Study	Bachelors of Arts, Economics
*Degree Earned (transcripts may be required)	Bachelors
Credit Hours – Quarter	
Credit Hours – Semester	

Language Skills

There are no items in this section.

License, Registration or Certification

*License, Registration or Certification	Florida Bar
Number	1008305
State Licensing Agency	Supreme Court of Florida
Received	05/07/2018
Expires	MM/DD/YYYY

Job-Related Training or Course Work

There are no items in this section.

Knowledge, Skills and Abilities

There are no items in this section.
